

# Out-of-plane optical anisotropy in organic layers

## A spectroscopic ellipsometry study

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### Research context and introduction

#### Introduction and motivation

- Y-type organic layer stacks made from fatty acids: simple experimental model to investigate the optical anisotropy of organic material
- Direct link between **optical response** and **structural parameters** considered as latent variables

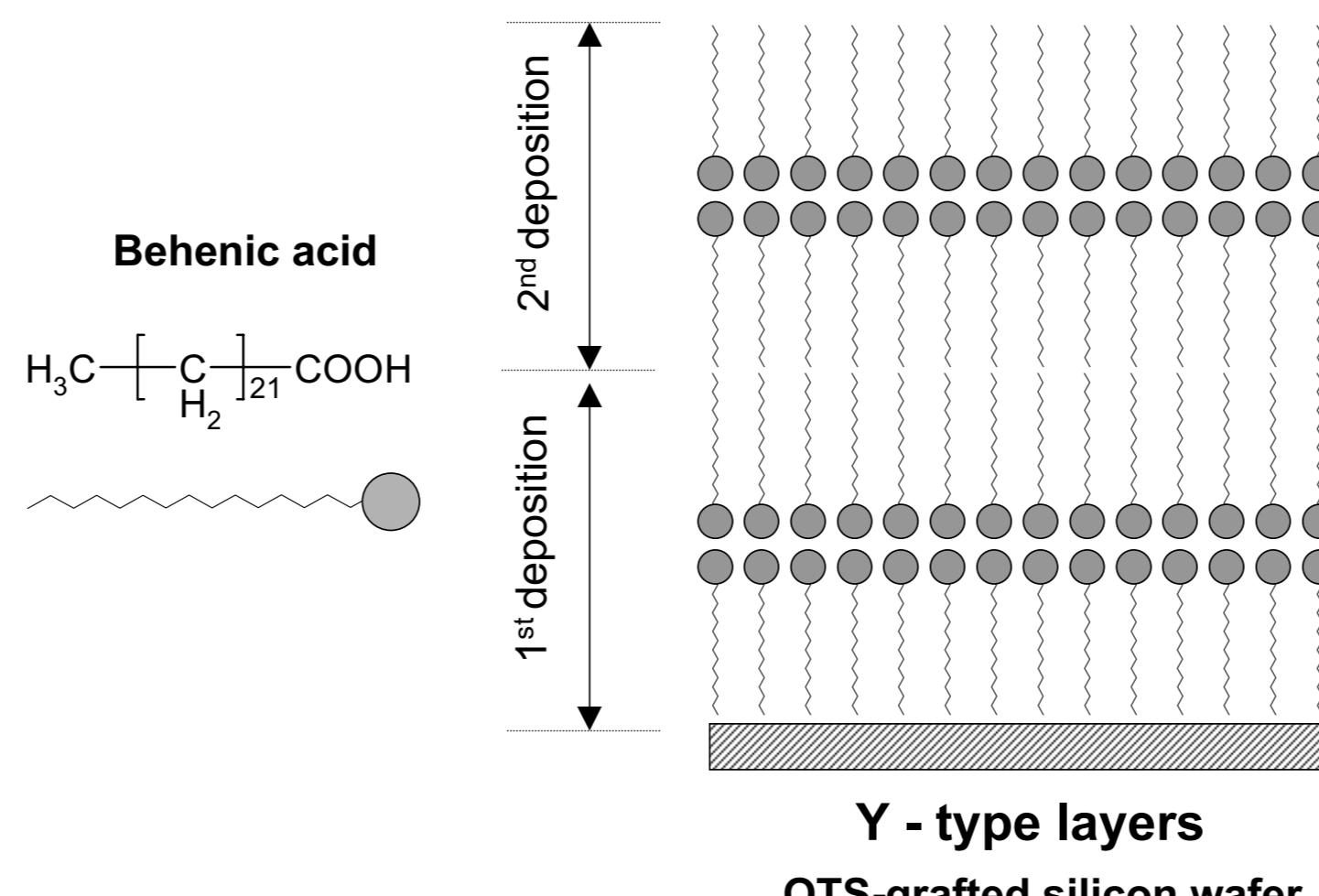


Figure 1: Schematic description of Y-types anisotropic organic multilayers

#### Sample preparation

- **Multilayer preparation:** Langmuir-Blodgett layers on OTS-derived silicon wafers
- **Sample thickness:** From 2 to 200 layers of Cd<sup>2+</sup>-behenate in the S-state (Deposition at  $\Pi = 30\text{mN/m}$  surface pressure, molecular area:  $0.194\text{ nm}^2$ , 25 mm/min)

### Results and discussion

#### Surface topography and optical properties

- **Roughness parameters:**  $R_a = 0.58\text{nm}$  and  $R_q = 0.84\text{nm}$  (optical profilometry data) (Fig. 2A)
- **Average thickness** for the 20 layers step :  $68\text{ nm}$  or  $3.4\text{ nm}$  per molecular step (Fig. 2B)

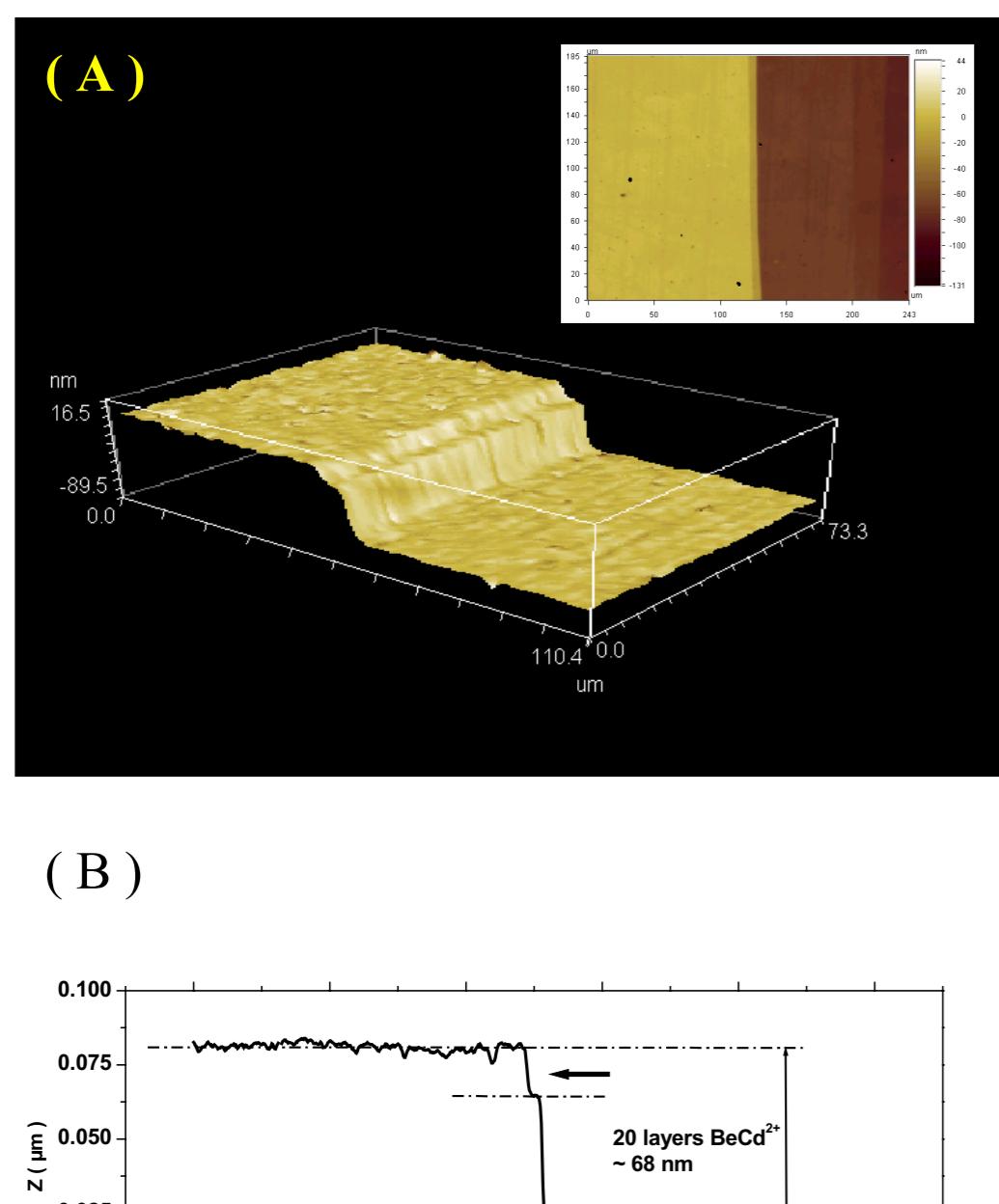


Figure 2: 180-layers samples: (A) Surface topography of a 20-layers step (Magn.  $25.4 \times$ ) (B) Thickness profile. Arrow: error in the positioning of the dipper ( $\approx 6\text{ }\mu\text{m}$ )

- Excellent agreement between experimental data and optical modeling (Fig. 3 A & B)

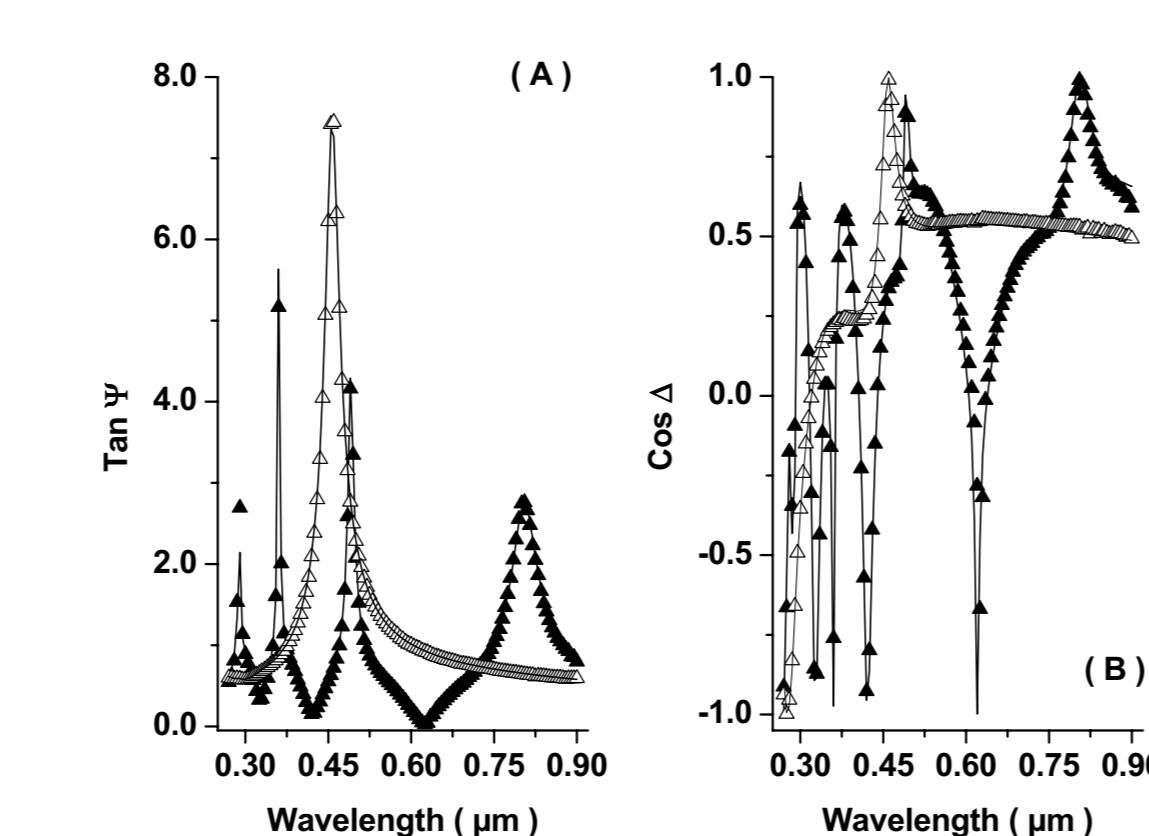


Figure 3: UV-visible ellipsometry of multilayers organic films (spectral range: 270–900nm, AOI: 75 deg.). Symbols: 32 layers film (closed triangles) and 180 layers film (open triangles). Lines: Best-fit results using the uniaxial-Z model without in-plane anisotropy. (A)  $\tan \Psi$  spectrum (B)  $\cos \Delta$  spectrum

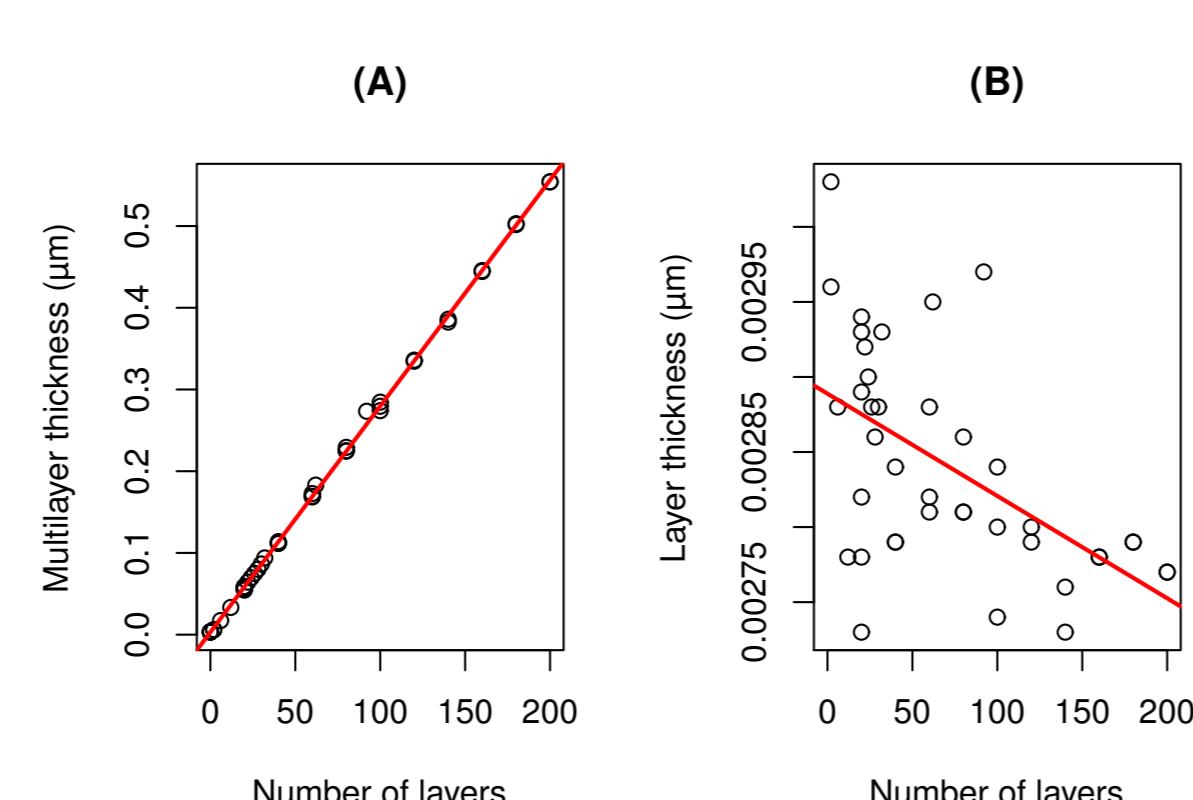


Figure 4: (A) Multilayer variation with the number of layers (B) Evolution of the mean layer thickness: progressive compaction of the layers

- Linear evolution of the global thickness of the coating with the number of layers (Fig. 4 A & B).
- Linear model analysis:  $2.766 \pm 0.009\text{ nm}$  per molecular layer – Intercept (OTS layer) :  $3.0 \pm 0.8\text{ nm}$  (Signif. level: 0.999)(Fig. 4A)

### Conclusions

- Standard SE appropriate to monitor the optical properties of uniaxial-Z materials and in particular of organic multilayers
- Multilayers of Cd<sup>2+</sup>-behenate exhibit a positive birefringence  $\Delta n$  and a small compaction of the layer thickness
- CPCPA shows linearity between  $PCA_1$  and  $PCA_2$  for  $N_{layers} < 40$

#### Optical analysis and modeling

- Determination of sample thickness and refractive index using **spectroscopic ellipsometry (SE)** (SOPRA Gesp5, France)
- Analysis of the SE spectra assuming flat interfaces between layers
- Uniaxial anisotropy of the film taken into account via the formalism proposed by Toussaere and Zyss (1993) considering the optical axis of the film perpendicular to its boundaries (*out-of-plane anisotropy*)
- In-plane birefringence neglected ( $\simeq 10^{-2}$ )
- Optical model with 5 parameters : thickness (1) and Cauchy law parameters (4) assuming non absorbing materials

$$n_o(\lambda) = A_o + \frac{B_o}{\lambda^2} \quad \text{and} \quad n_e(\lambda) = A_e + \frac{B_e}{\lambda^2}$$

- Statistically significant progressive compaction of the layers (Signif. level: 0.999) : decrease of  $0.68 \pm 0.16\text{ nm}$  per layer (Fig. 4B)
- Very small dispersion of the ordinary and extraordinary refractive index ( $B \leq 0.05$ )
- Positive birefringence  $\Delta n = n_e - n_o$
- No influence of the number of layers for multilayer thickness larger than  $100\text{ nm}$

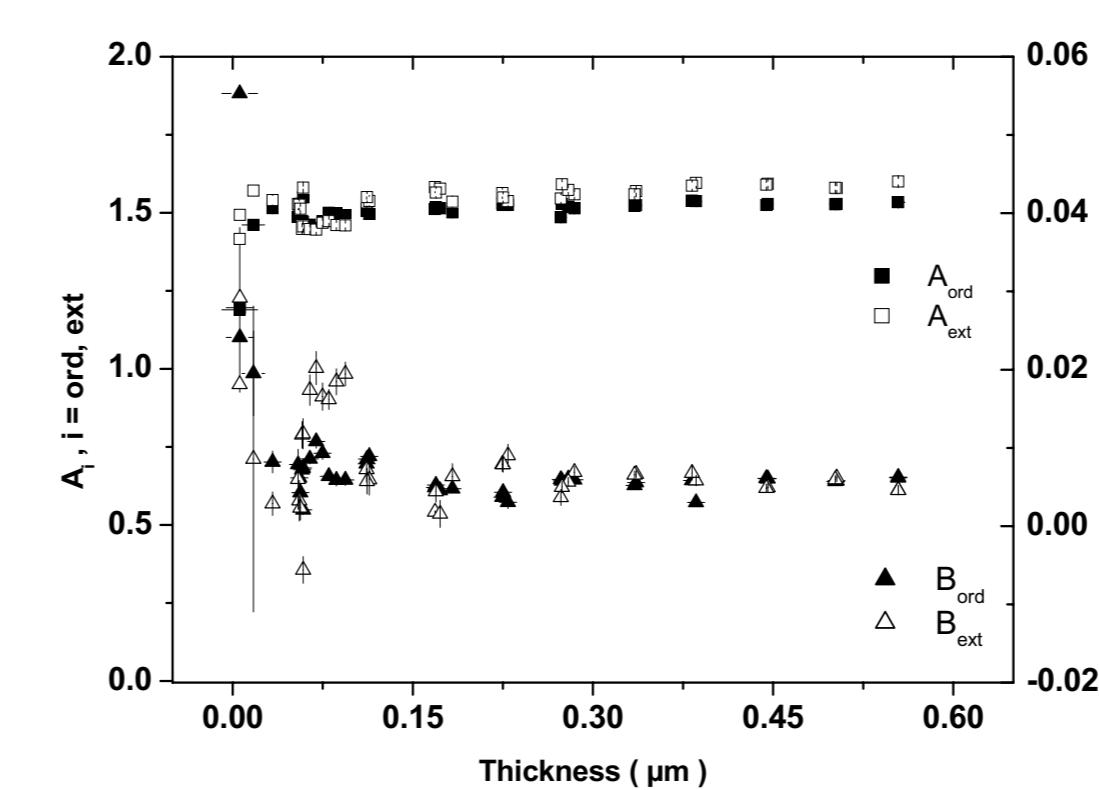


Figure 5: Cauchy parameters A and B of the ordinary and extraordinary refractive index  $n_o$  and  $n_e$ .

- 50.7% of the variance explained with **2 components** only (Fig. 6).
- Strong linear correlation between the number of layers and the first and second principal components ( $PCA_1$  and  $PCA_2$ ) ( $R^2 = 0.9837$  and  $R^2 = 0.9595$ )
- Linear regime for  $N_{layers} < 40$

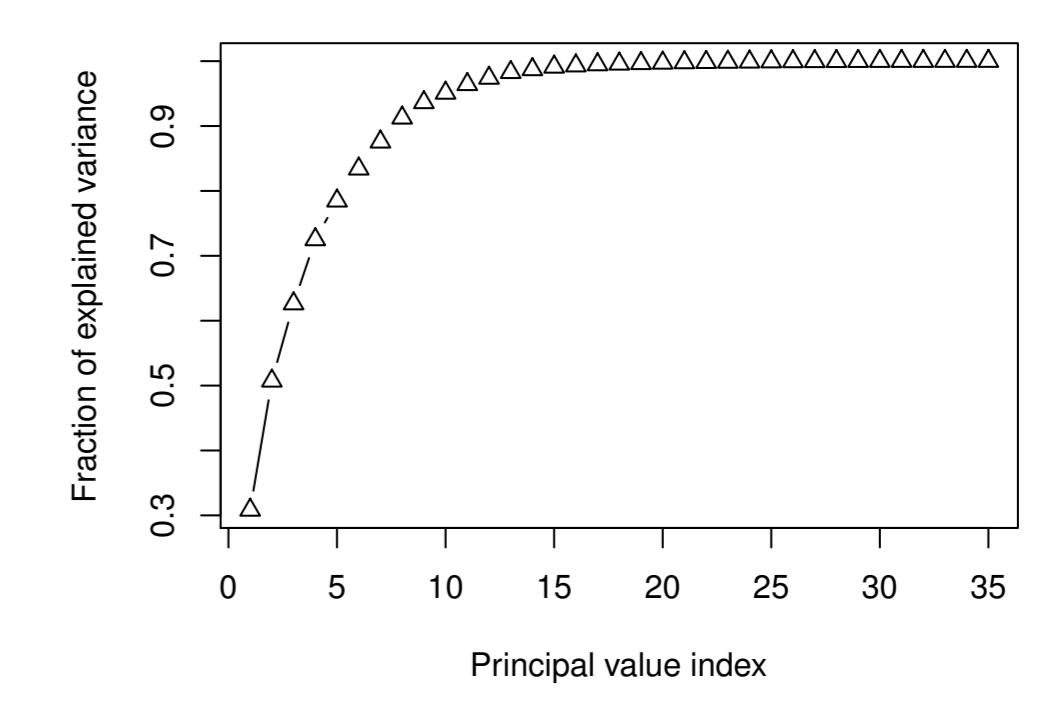


Figure 6: Normalized cumulative sum of the eigenvalues of the PCA analysis: percentage of explained variance

#### Complex Principal Component Analysis (CPCA)

- Generalization of principal components analysis (PCA) to complex variables (Horel, 1984)
- Multivariate analysis technique used in chemometrics (impedance analysis, ...) (Geladi, 2007) and ellipsometry for gold colloids adsorption (Brouwer, 2004)
- Data transformed to account for both  $\Psi$  and  $\Delta$  values: data matrix is  $(N \times M)$  with  $N$  the number of samples and  $M$  the number of wavelengths.

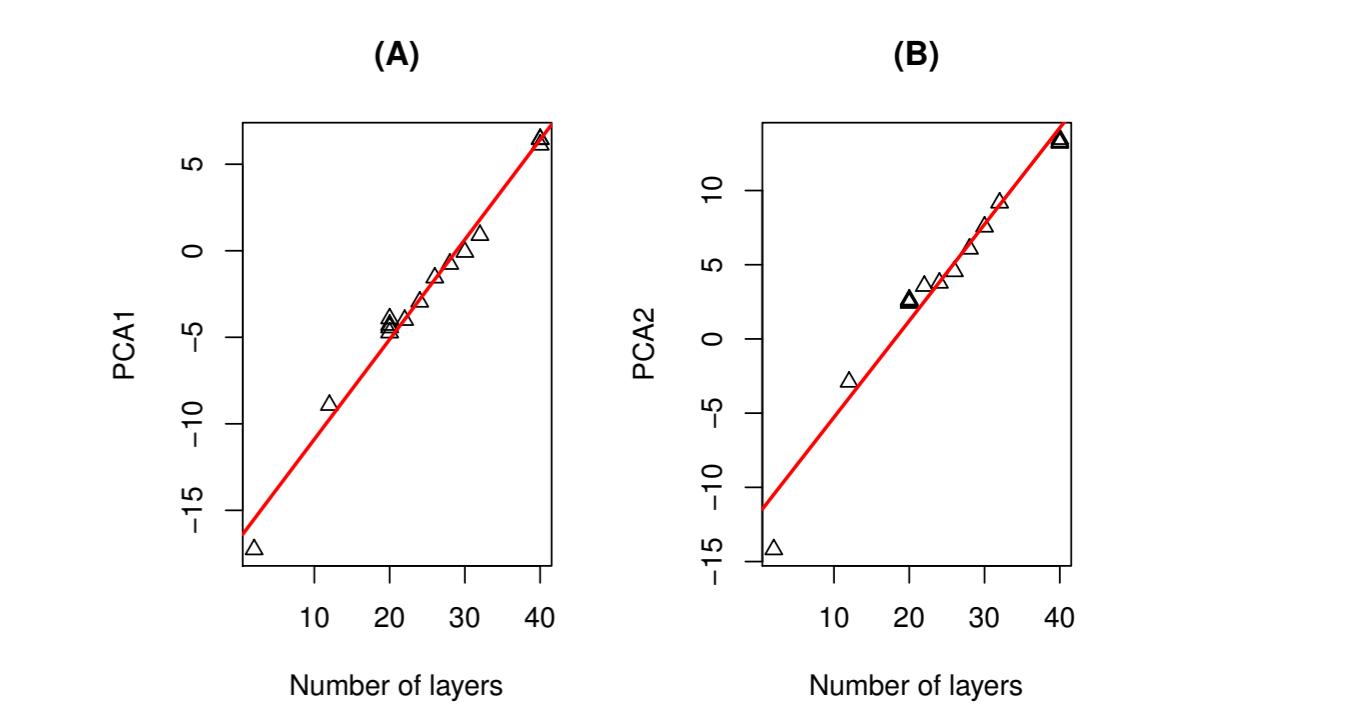


Figure 7: Linear correlation between the number of layers and the principal components. (A)  $PCA_1$  (B)  $PCA_2$

- More complex behavior for thin multilayers: to be studied in a forthcoming study

#### References

1. Horel, J.D. (1984) J. Climate Appl. Meteorology, **23**, 1660.
2. Brouwer, E.A.M. et al (2004) J. Phys. Chem. B, **108**, 7748
3. Geladi, P., Nelson, A. & Lindholm-Sethson, B. (2007) Anal. Chim. Acta, **595**, 152.