

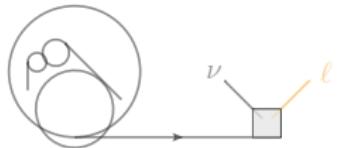
# Neutrino Physics (Theory) – 2

## 2024 BND school, Blankenberge, België

Richard Ruiz

Institute of Nuclear Physics – Polish Academy of Science (IFJ PAN)

2 September 2024



# Lecture Plan (one-day show!)

## Lecture I:

- Pt 1: The Standard Model (SM) neutrino
- Pt 2: The neutrino that nature gave us: intro to  $\nu$  oscillations

Coffee break at 10:30ish

## Lecture II:

- Pt1. Consequences of neutrino masses (theory perspective)
- Pt2. Neutrino mass models (highlights)

Lunch at 12:30ish

## Pt1. Consequences of neutrino masses

# The massless $\nu$ hypothesis (recap)

In quantum field theory: we learn about three types of fermions

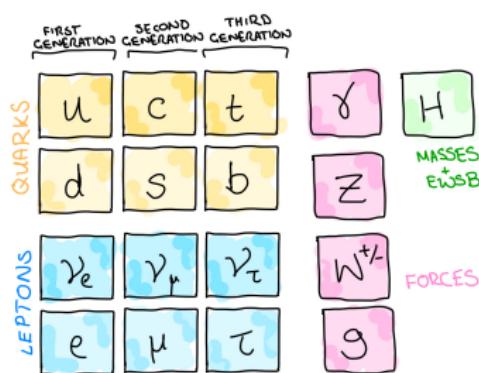
$$\mathcal{L}_{\text{Kin.}} = \bar{\psi} i \not{\partial} \psi \quad \mathcal{L}_{\text{Kin.}} = \bar{\psi} (i \not{\partial} - m) \psi \quad \mathcal{L}_{\text{Kin.}} = \frac{1}{2} \bar{\psi} (i \not{\partial} - m) \psi$$

Weyl fermion ( $m = 0$ )

Dirac fermion ( $m \neq 0$ )

Majorana fermion ( $m \neq 0$ )

- **SM** hypothesizes 3 massless, chiral  $\nu_L$   
(no evidence for  $m_\nu \neq 0$ )
- **Data** only say  $m_\nu \neq 0$ , but not whether  $\nu$  is Dirac or Majorana
- **The 1/2 Problem:** cannot write  $\mathcal{L}_{\text{Kin.}}$  without first knowing D vs M nature



⇒ existence of  $\nu$  masses remain physics beyond the SM ('15)

**consider “The 1/2 Problem” from a different perspective**

# Fermion masses and chirality

**For fermions** chirality and masses are linked

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<sup>1</sup>friendly reminder:  $\bar{\psi} = \psi^\dagger \gamma^0$  and  $P_L \gamma^0 = \gamma^0 P_R$ .  
we also have  $P_L P_L \psi_L = P_L \psi_L = \psi_L$  (also true for  $R$ ).

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**Example:** consider the chiral projection operators<sup>1</sup>  $P_L + P_R = \mathbb{1}$   
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$$\mathcal{L} = m \bar{\psi} \psi$$

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**Conclusion:** only  $(LR)$  and  $(RL)$  survive since  $P_L \cdot P_R = P_R \cdot P_L = 0$

- if  $\psi_R = (\psi_L)^c$ , then  $\psi$  is a Majorana fermion
- if  $\psi_R \neq (\psi_L)^c$ , then  $\psi$  is a Dirac fermion

---

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# Fermion masses and chirality

For fermions chirality and masses are inherently linked

**Example:** consider the chiral projection operators<sup>2</sup>  $P_L + P_R = \mathbb{1}$   
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In SM: Higgs field ( $\Phi_{\text{SM}}$ ) couples LH and RH chiral fermions

- Yukawa couple **opposite chirality**, e.g.,  $\mathcal{L}_{\text{Yuk.}} = y_e^{ij} \overline{L}_L^i \Phi e_R^j + \text{H.c.}$
- Covariant derivatives couple **same chirality**, e.g.,  $\mathcal{L}_{\text{Kin.}} = \overline{L}_L^i \partial^\mu L_L^i$

---

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## accommodating Dirac masses in the SM (1/2)

To generate Dirac masses for  $\nu$  like other SM fermions, we need  $\nu_R$

$$\mathcal{L}_{\nu \text{ Yuk.}} = -y_{\nu} \overline{L} \tilde{\Phi} \nu_R + \text{H.c.}$$

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$$\begin{aligned}\mathcal{L}_{\nu \text{ Yuk.}} &= -y_{\nu} \overline{L} \tilde{\Phi} \nu_R + \text{H.c.} = -y_{\nu} \left( \overline{\nu_L} \quad \overline{\ell_L} \right) \begin{pmatrix} \langle \Phi \rangle + h \\ 0 \end{pmatrix} \nu_R + \text{H.c.} \\ &= \underbrace{-y_{\nu} \langle \Phi \rangle}_{=m_D} \overline{\nu_L} \nu_R + \text{H.c.} + \dots\end{aligned}$$

## accommodating Dirac masses in the SM (2/2)

**Adding  $\nu_R$ 's** to SM seems trivial but...

- $\nu_R$ 's are neutral under all SM gauge interactions (before and after EWSB)
- If  $\nu_R$ 's are **Majorana fermions**, must **include** RH Majorana masses

$$\mathcal{L}_M = \frac{1}{2} \mu_R \overline{\nu_R^c} \nu_R + \text{H.c.}$$

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**Adding  $\nu_R$ 's** to the **SM** means:

- a new scale  $\mu_R$  that **breaks lepton number** symmetry
- a new symmetry that **conserves lepton number** symmetry
- both e.g., spontaneous  $B - L$  breaking

However, the origin of  $m_\nu \neq 0$  might not even involve  $\nu_R$

**to date, data gives no preference for Dirac or Majorana nature**

**the SM does provide some theoretical guidance!**

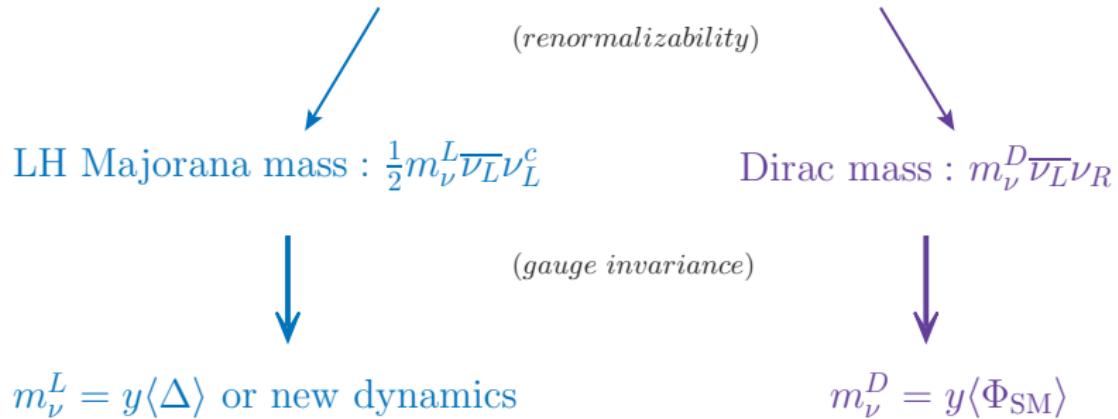
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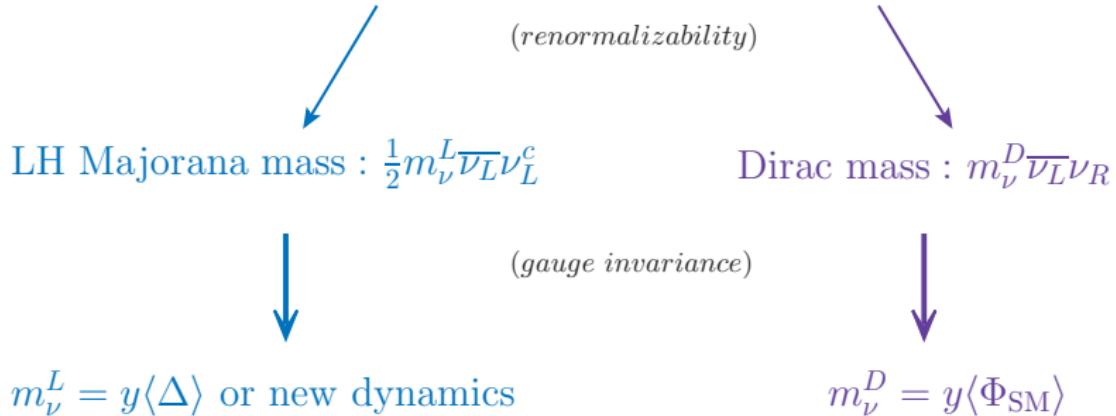
$m_\nu \neq 0 +$  left-handed (LH) weak currents



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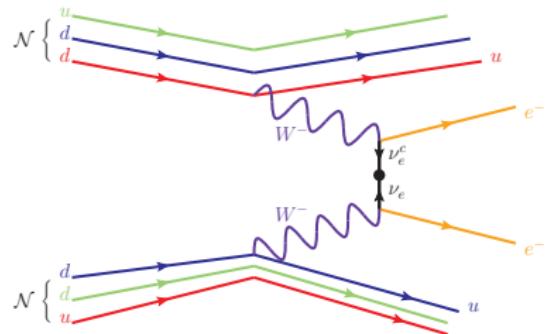
$m_\nu \neq 0 +$  renormalizability + gauge inv.  $\implies$  new particles

New particles must couple to  $\Phi_{\text{SM}}$  and  $L$ , often inducing non-conservation of lepton number and/or lepton flavor

# friendly reminder of lepton symmetries

**Lepton Number Violation (LNV) =  
(#leptons – #antileptons) not conserved**

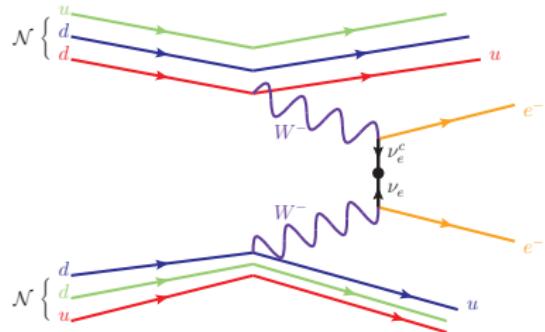
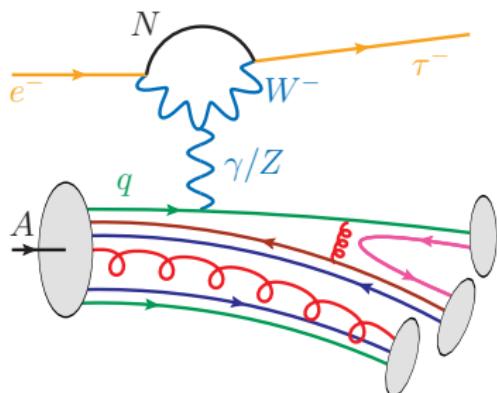
e.g. nuclear  $0\nu\beta\beta$  decay of heavy isotopes  $(A, Z) \rightarrow (A, Z + 2) + e^- e^-$



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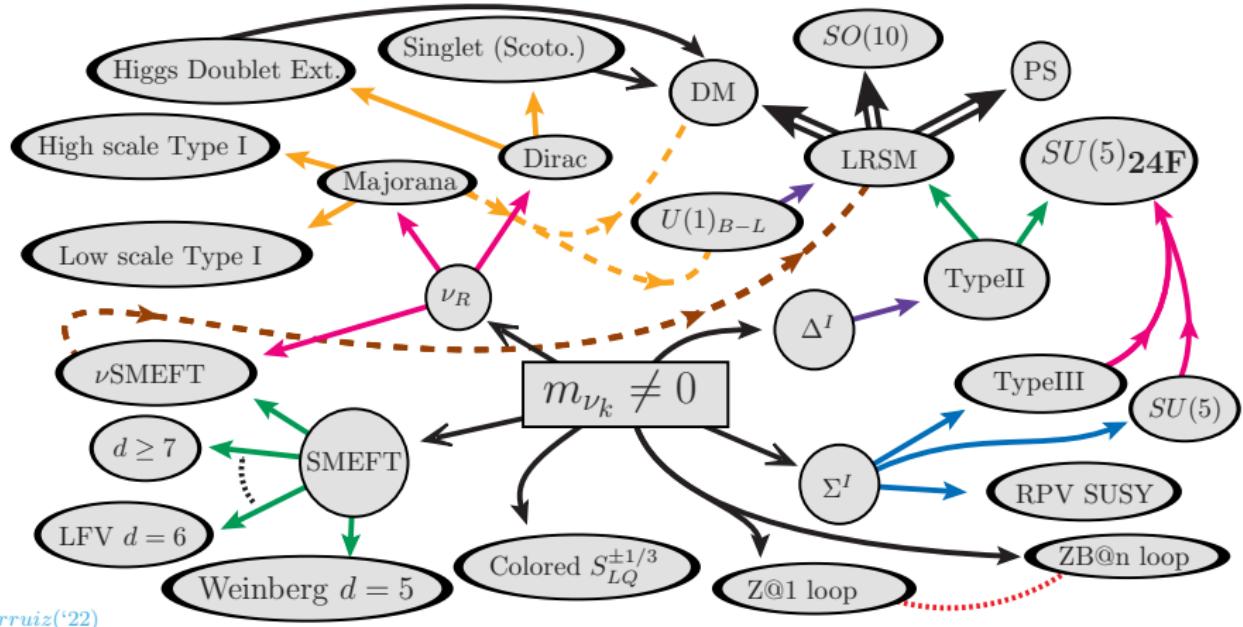
**Lepton Flavor Violation (LFV) =**  
 $(\# \text{lepton species} - \# \text{antilepton species})$  not conserved,

e.g.,  $e^- \rightarrow \tau^-$  conversion in deeply inelastic scattering (DIS)

lepton number and lepton flavor are accidentally conserved in the SM

# Solution to $m_\nu \neq 0$ can be realized in *many* ways!

Minkowski ('77); Yanagida ('79); Glashow & Levy ('80); Gell-Mann et al., ('80); Mohapatra & Senjanović ('82); + many others



New particles must couple to  $\Phi_{SM}$  and  $L$ , often inducing lepton number violation (LNV) and lepton flavor violation (LFV) in experiments

**why the obsession with LNV?**

## The Black Box Theorem

In '82, Schechter & Valle published (PRD'82) a seminal finding:

- Suppose  $0\nu\beta\beta$  is mediated within "a 'natural' gauge theory" a  $\Delta L = -2$  process →
- $u, d$  and  $e^-$  all carry weak charges

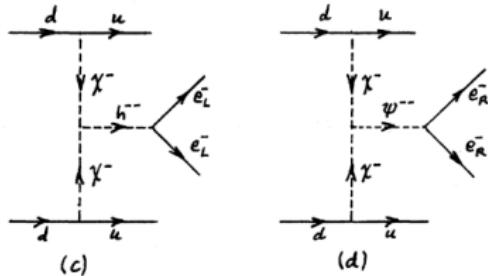
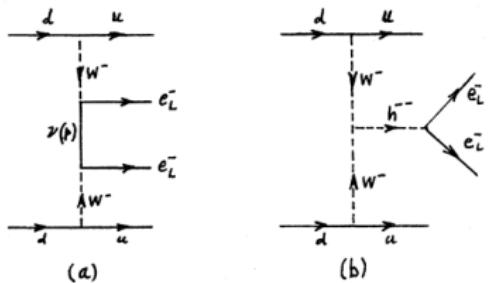


FIG. 1. Diagrams for neutrinoless double- $\beta$  decay in an  $SU(2) \times U(1)$  gauge theory. The standard diagram is Fig. 1(a). It is the only one which contains a virtual neutrino (of four-momentum  $p$ ).  $d$  and  $u$  are the down and up quarks.

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- $u, d$  and  $e^-$  all carry weak charges
- always possible to build a many-loop, 2-point graph with external  $\nu_L, \nu_L^c$
- $0\nu\beta\beta$  generates a **Majorana mass** for  $\nu$
- holds generally for other  $\Delta L \neq 0$  process  
for further discussions, see:

Hirsch, et al [hep-ph/0608207] and Pascoli, et al [1712.07611]

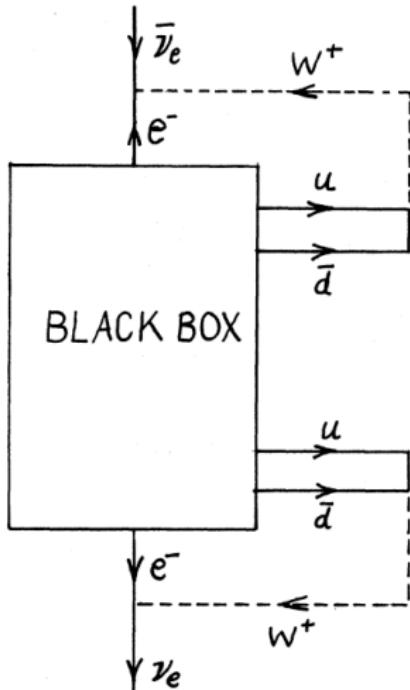


FIG. 2. Diagram showing how any neutrinoless double- $\beta$  decay process induces a  $\bar{\nu}_e$ -to- $\nu_e$  transition, that is, an effective Majorana mass term.

**LENV**  $\iff$  Majorana nature of  $\nu$

**well, why not look for  $0\nu\beta\beta$ ?**

**... is it hard? ☺**



## quick review from this morning (1 slide)

The SM  $W^\pm$  boson coupling to **leptons** in the **flavor eigenbasis** is

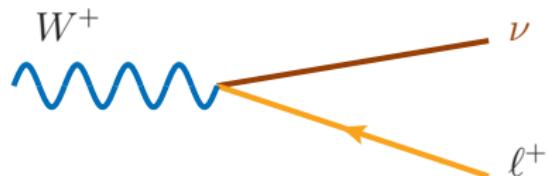
$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} W_\mu^\pm \sum_{l=1}^3 [\bar{\nu}_{lL} \gamma^\mu P_L l^-] + \text{H.c.}$$

The SM  $W^\pm$  boson coupling to **leptons** in the **mass eigenbasis** is

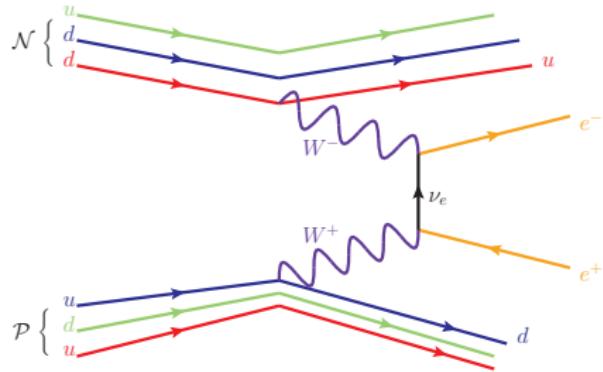
$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} W_\mu^\pm \sum_{\ell=e}^\tau \sum_{m=1}^3 [\bar{\nu}_m \underbrace{U_{m\ell}^*}_{U_{m\ell}^* \equiv \sum_l \Omega_{ml}^* \Omega_{l\ell}} \gamma^\mu P_L \ell^-] + \text{H.c.}$$

Like the CKM, SM Feynman rules are modified by **PMNS** mixing factor:

$$\Gamma^\mu = \frac{-ig}{\sqrt{2}} \gamma^\mu P_L \rightarrow \tilde{\Gamma}^\mu = \frac{-ig}{\sqrt{2}} U_{m\ell}^* \gamma^\mu P_L$$



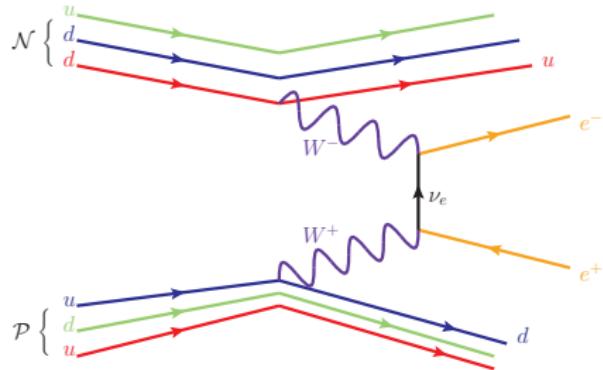
Consider the LNC process  $\mathcal{N}\mathcal{P} \rightarrow \mathcal{P}'\mathcal{N}'e^+e^-$  as governed by the SM



The helicity amplitude for the LNC subprocess  $q_1 q_2 \rightarrow \ell_1^- \ell_2^+ q'_1 q'_2$  is

$$\mathcal{M}_{LNC} = J_{q_1 q'_1}^\mu J_{q_2 q'_2}^\nu \Delta_{\mu\rho}^W \Delta_{\nu\sigma}^W T_{LNC}^{\rho\sigma} \mathcal{D}(p_N)$$

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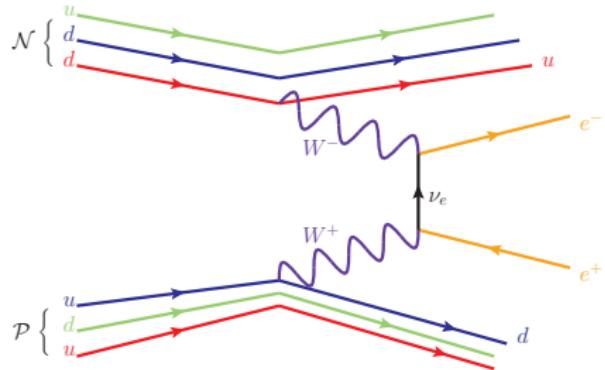


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$$T_{LNC}^{\rho\sigma} = \overline{u_L}(p_1) U_{ek} \gamma^\rho P_L \times \left( \underbrace{\not{p}_k}_{\text{LH helicity state}} + \underbrace{\not{m}_k}_{P_L m_k P_R = 0} \right) \times U_{ek} \gamma^\sigma P_L v_R(p_2)$$

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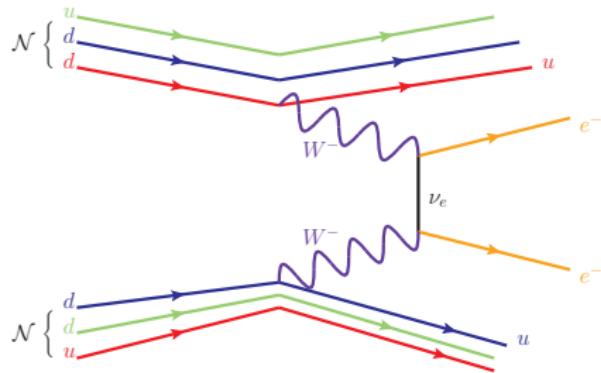
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$$\implies \mathcal{M}_{LNC} \sim \frac{\not{p}_k}{(\not{p}_k^2 - m_k^2)} U_{ek}^2 \quad \text{scales with momentum transfer!}$$

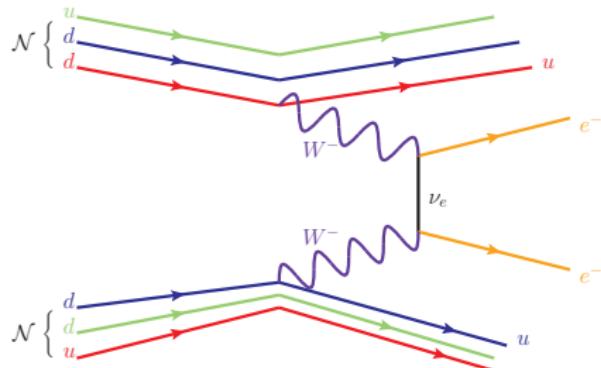
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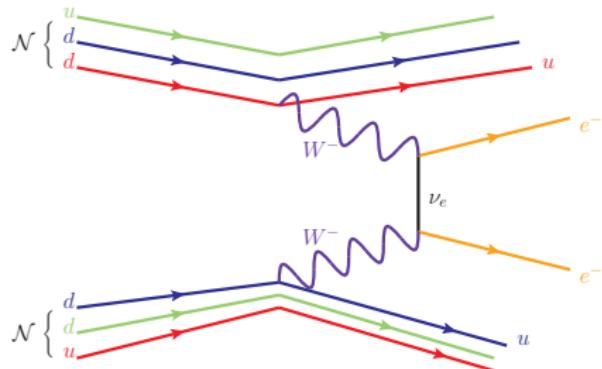
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Intuition: CPT Theorem  $\implies$  CT-inversion =  $P$ -inversion

$$T_{LNV}^{\rho\sigma} = \overline{u_R}(p_1) U_{ek} \gamma^\rho \underbrace{P_R}_{CPT: P_L \rightarrow P_R} \times \left( \underbrace{\not{p}_k}_{P_R \not{p}_k P_R=0} + \underbrace{m_k}_{RH \text{ helicity state}} \right) \times U_{ek} \gamma^\sigma P_L v_R(p_2)$$

Consider the LNV process  $NN \rightarrow P'P'e^-e^-$  in minimal SM+ $m_\nu$



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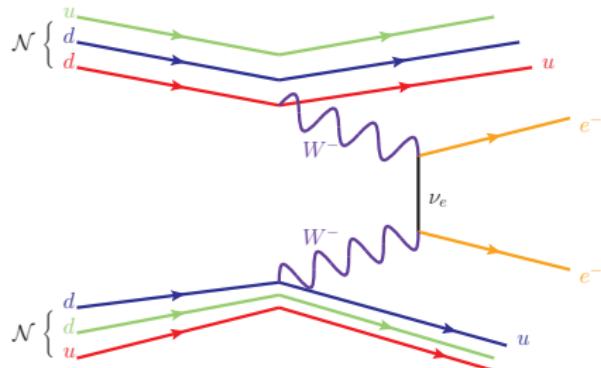
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$$\implies \mathcal{M}_{LNV} \sim \frac{m_k}{(p_k^2 - m_k^2)} U_{ek}^2$$

Consider the LNV process  $\mathcal{N}\mathcal{N} \rightarrow \mathcal{P}'\mathcal{P}' e^- e^-$  in minimal SM+ $m_\nu$



The helicity amplitude for the LNV subprocess  $q_1 q_2 \rightarrow \ell_1^+ \ell_2^+ q'_1 q'_2$  is

$$\mathcal{M}_{LNV} = J_{q_1 q'_1}^\mu J_{q_2 q'_2}^\nu \Delta_{\mu\rho}^W \Delta_{\nu\sigma}^W T_{LNV}^{\rho\sigma} \mathcal{D}(p_k)$$

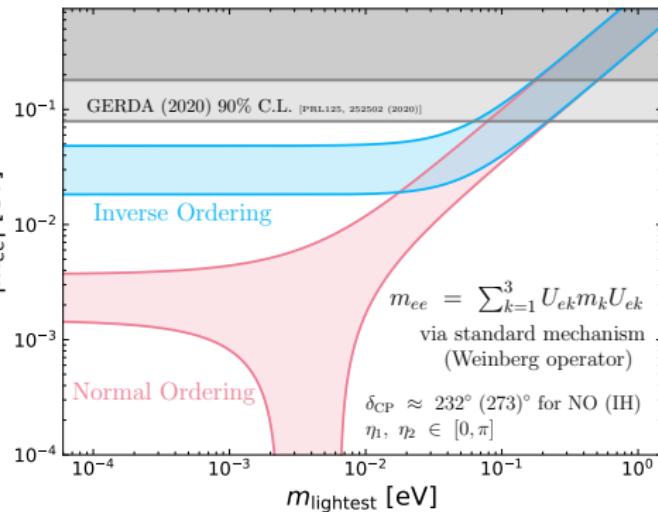
Intuition: CPT Theorem  $\implies$  CT-inversion =  $P$ -inversion

$$T_{LNV}^{\rho\sigma} = \overline{u_R}(p_1) U_{ek} \gamma^\rho \underbrace{P_R}_\text{CPT: } P_L \times \left( \underbrace{\not{p}_k}_\text{P_R not zero} + \underbrace{\not{m}_k}_\text{RH helicity state} \right) \times U_{ek} \gamma^\sigma P_L v_R(p_2)$$

$$\implies \mathcal{M}_{LNV} \sim \frac{m_k}{(p_k^2 - m_k^2)} U_{ek}^2 \approx \frac{m_k}{p_k^2} U_{ek}^2 \times \left[ 1 + \mathcal{O}\left(\frac{m_k^2}{p_k^2}\right) \right] \quad \text{scales with mass!}$$

**Plotted:** Excluded/allowed “effective  $\beta\beta$  Majorana mass” vs lightest  $m_\nu$

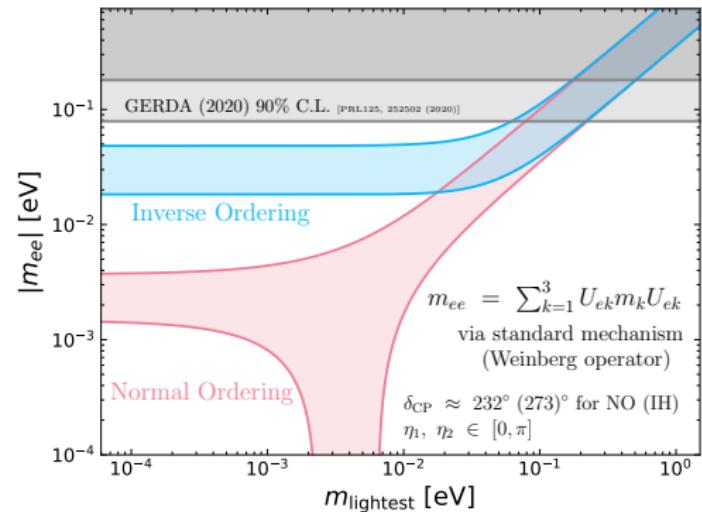
$$1/T_{1/2}^{0\nu\beta\beta} = \underbrace{G_{0\nu\beta\beta}}_{\text{phase space}} \underbrace{m_p^2}_{\text{matrix element}} \underbrace{|\mathcal{A}|^2 |m_{ee}|^2}_{\text{matrix element}} , \quad m_{ee} = \sum_{k=1}^3 U_{ek} m_k U_{ek}$$



[gitlab.cern.ch/riruiz/public-projects/-/tree/master/NuPhysSandbox](https://gitlab.cern.ch/riruiz/public-projects/-/tree/master/NuPhysSandbox)

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**Weinberg operator** only SMEFT operator at  $d = 5$ :

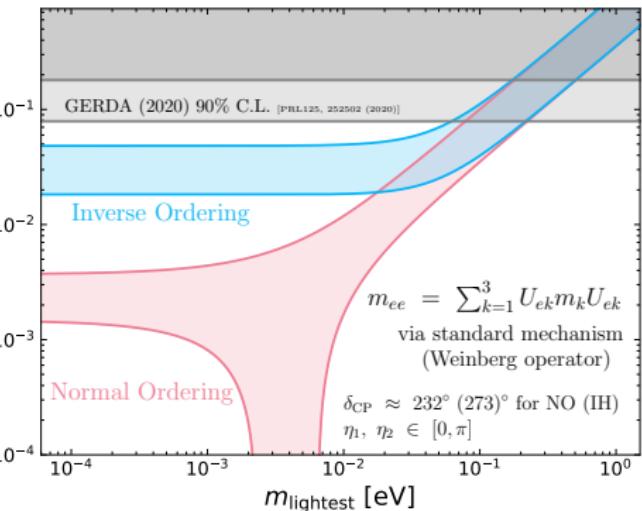
$$\mathcal{L} = \frac{C_5^{\ell\ell'}}{\Lambda} [\Phi \cdot \overline{L_\ell^c}] [L_{\ell'} \cdot \Phi]$$

generates  $\nu$  mass matrix:

$$m_{\ell\ell'} = C_5^{\ell\ell'} \langle \Phi \rangle^2 / 2\Lambda$$

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Searches for nuclear  $0\nu\beta\beta$  decay set stringent constraints, e.g.,

GERDA [2009.06079]

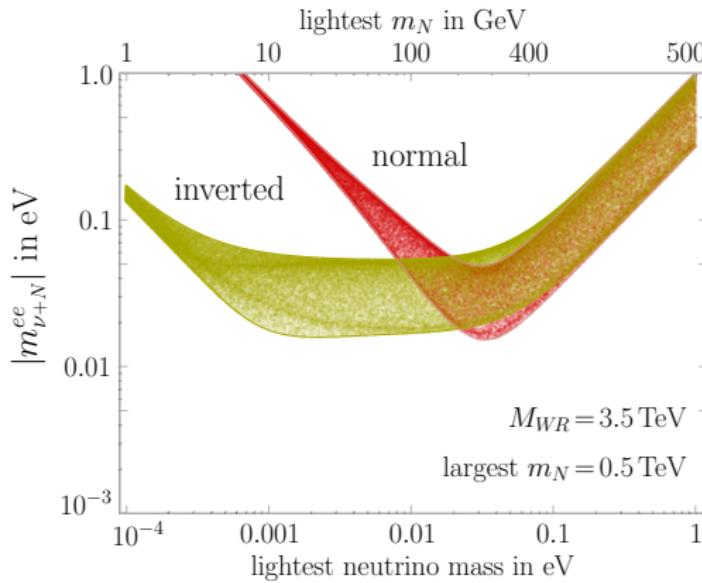
$$C_5^{ee}/\Lambda \gtrsim (3.3 - 7.6) \times 10^{14} \text{ GeV}$$

<gitlab.cern.ch/riruiz/public-projects/-/tree/master/NuPhysSandbox>

**Important:** sensitivity is model dependent!!!

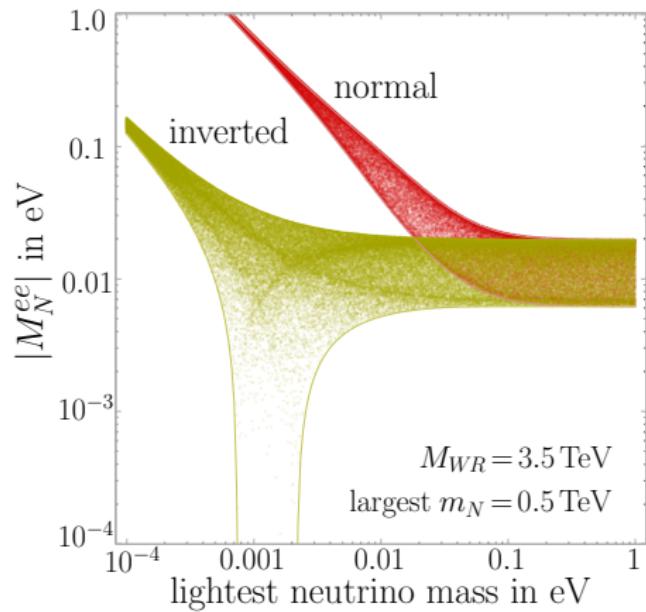
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e.g., Left-Right Symm. Model,

Tello, et al [1011.3522]

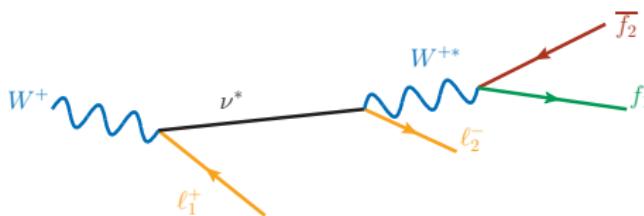


**how about looking for LNV elsewhere?**

## The **Dirac-Majorana** Confusion Theorem

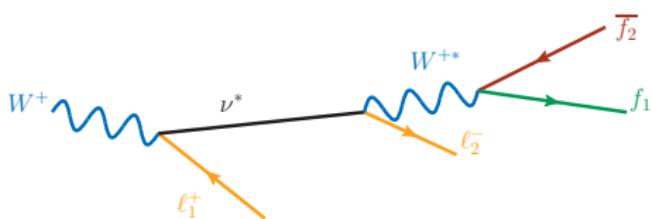
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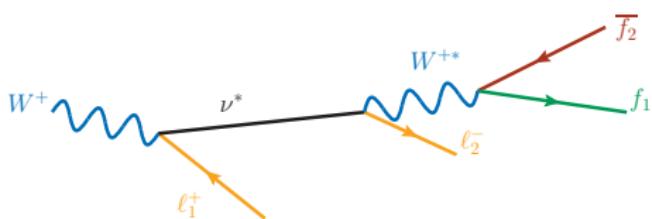


The helicity amplitude for the LNC process  $W^+ \rightarrow \ell_1^+ \ell_2^- f_1 \bar{f}_2$  is

$$\mathcal{M}_{LNC} = \varepsilon_\mu T_{LNC}^{\rho\mu} \Delta_{\nu\rho}^W J_{f_1 f_2}^\nu \mathcal{D}(p_\nu)$$

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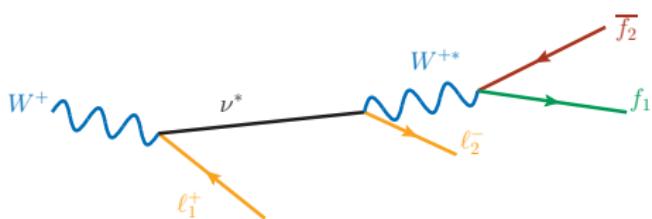
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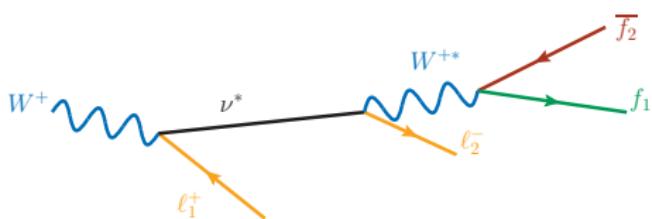
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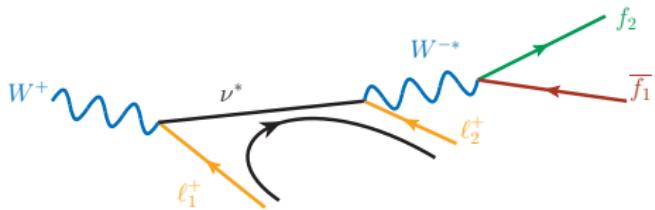


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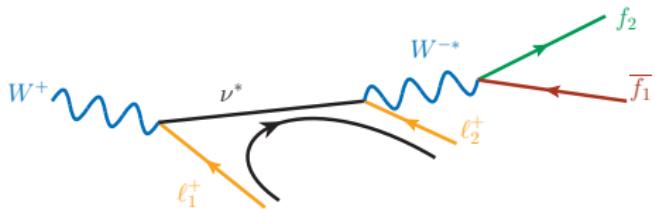
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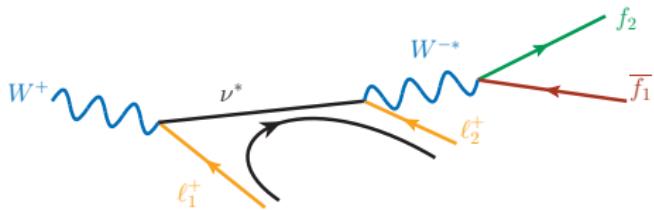


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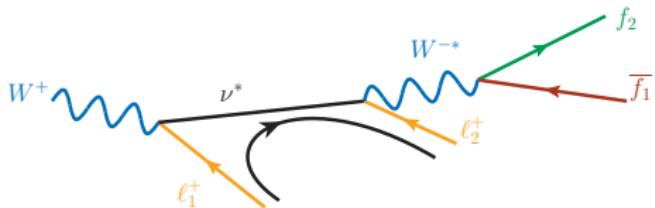
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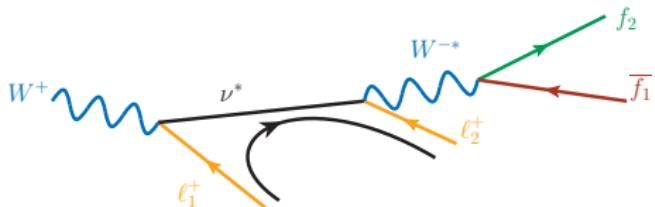


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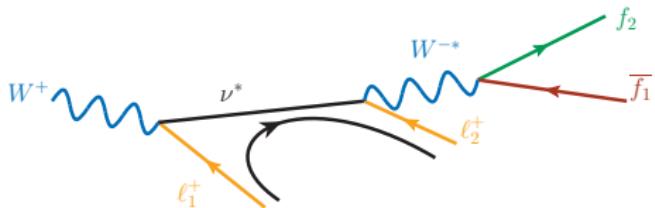
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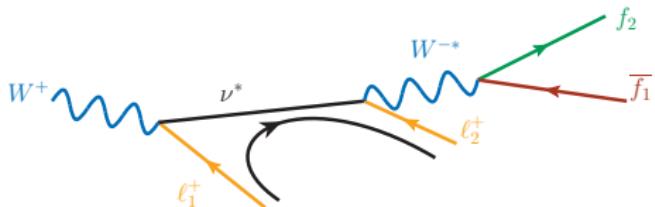
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**Confusion Theorem:** In SM + Majorana  $\nu$ , the rate of **LNV**  $\sim \mathcal{O}(\not{m}_\nu)$ ; in the limit where  $(\not{m}_\nu^2/M_W^2) \rightarrow 0$ , Dirac behavior recovered



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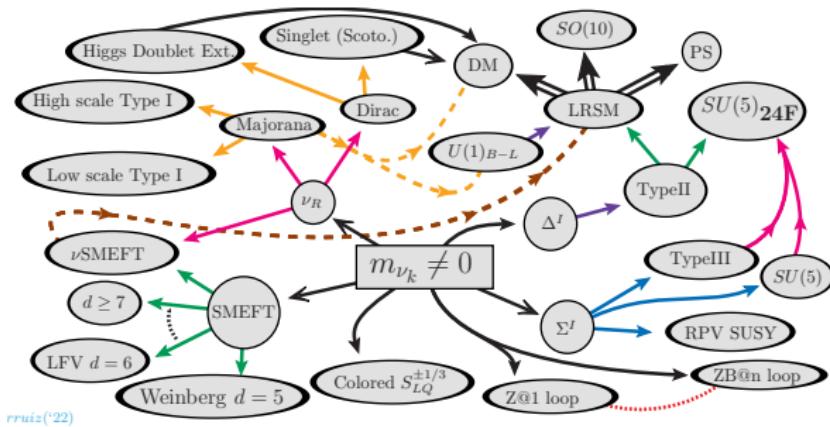
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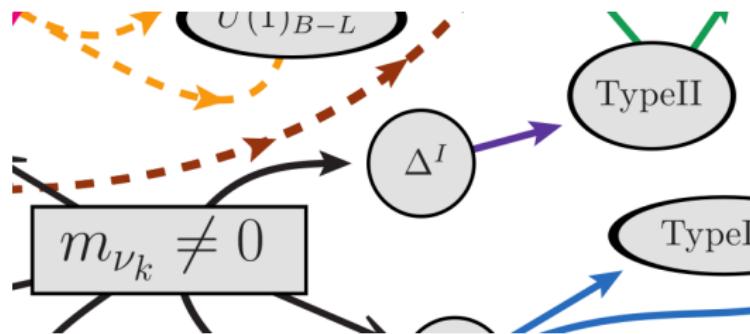
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holds for other gauge theories with Majorana fermions Han, RR, et al [1211.6447]; RR [2008.01092]

## Pt2. $\nu$ mass models



## Type II Seesaw<sup>3</sup>



<sup>3</sup> Konetschny and Kummer ('77); Schechter and Valle ('80); Cheng and Li ('80); Lazarides, et al ('81); Mohapatra and Senjanovic ('81)

The Type II Seesaw is special: generates  $m_\nu$  **without** hypothesizing  $\nu_R$

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Hypothesize a **scalar**  $SU(2)_L$  triplet with **lepton number**  $L = -2$

$$\hat{\Delta} = \frac{1}{\sqrt{2}} \begin{pmatrix} \Delta^+ & \sqrt{2}\Delta^{++} \\ \sqrt{2}\Delta^0 & -\Delta^+ \end{pmatrix}, \quad \text{with} \quad \mathcal{L}_{\Delta\Phi} \ni \mu_h \Delta \left( \Phi_{\text{SM}}^\dagger \hat{\Delta} \cdot \Phi_{\text{SM}}^\dagger + \text{H.c.} \right)$$

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The mass scale  $\mu_{h\Delta}$  **breaks lepton number**, and induces  $\langle \Delta \rangle \neq 0$ :

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====> **left-handed Majorana masses for  $\nu$**

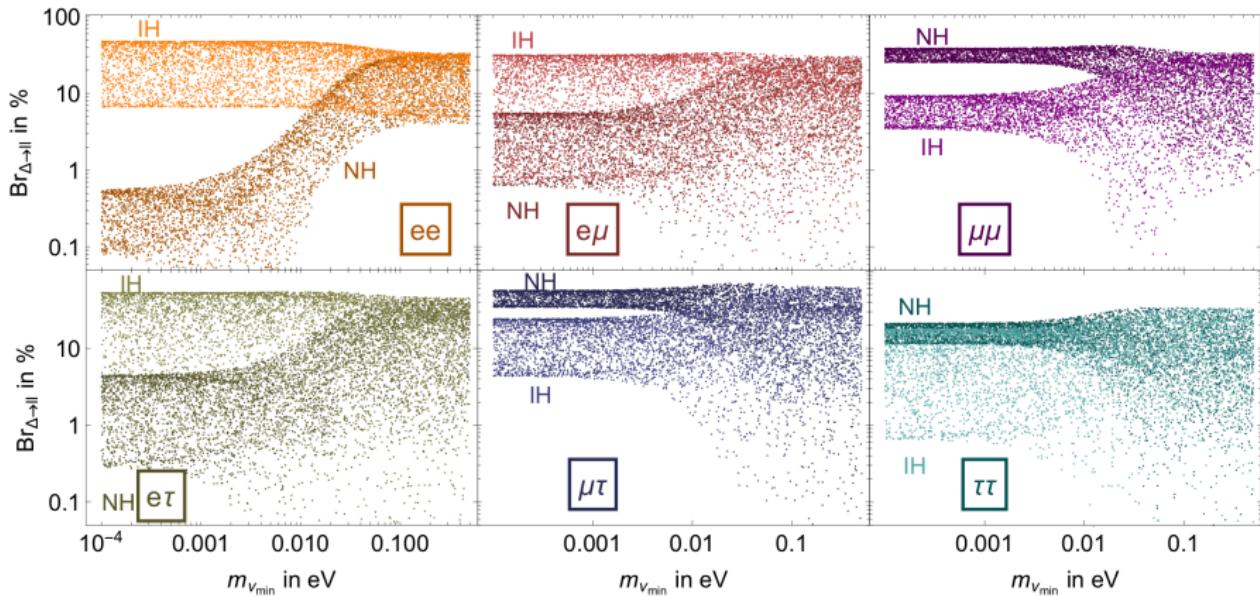
$$\begin{aligned} \Delta \mathcal{L} &= -\frac{y_\Delta^{ij}}{\sqrt{2}} \overline{L^c} \hat{\Delta} L = -\frac{y_\Delta^{ij}}{\sqrt{2}} \begin{pmatrix} \overline{\nu^{jc}} & \overline{\ell^{jc}} \end{pmatrix} \begin{pmatrix} 0 & 0 \\ v_\Delta & 0 \end{pmatrix} \begin{pmatrix} \nu^i \\ \ell^i \end{pmatrix} \\ &\ni -\underbrace{\frac{1}{2} \left( \sqrt{2} y_\Delta^{ij} v_\Delta \right) \overline{\nu^{jc}} \nu^i}_{=m_\nu^{ij}} \end{aligned}$$

# Fewer free parameters $\implies$ richer experimental predictions

Fileviez Perez, Han, Li, et al, [0805.3536], Crivellin, et al [1807.10224], Fuks, Nemevšek, RR [1912.08975] + others

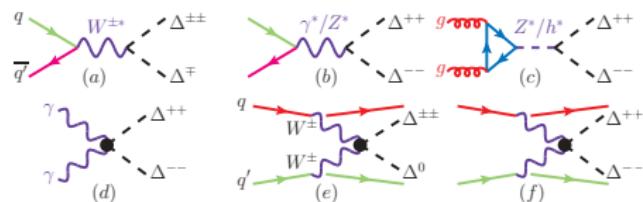
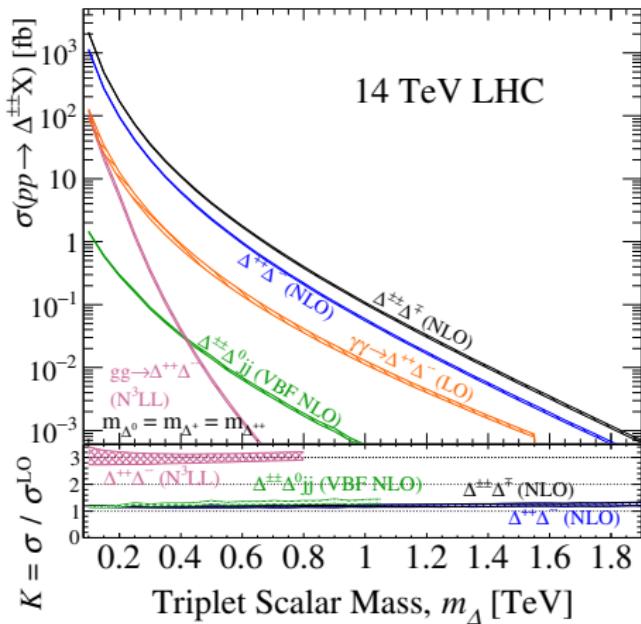
- **Example:**  $\Delta$  decay rates encode **inverse (IH)** vs **normal (NH)** ordering of light neutrino masses

$$\Gamma(\Delta^{\pm\pm} \rightarrow \ell_i^\pm \ell_j^\pm) \sim y_\Delta^{ij} \sim (U_{\text{PMNS}}^* \tilde{m}_\nu^{\text{diag}} U_{\text{PMNS}}^\dagger)_{ij}$$



$\Delta^{\pm\pm}$ ,  $\Delta^\pm$ ,  $\Delta^0$ ,  $\xi^0$  all couple to  
 $W, Z, \gamma$  via gauge couplings

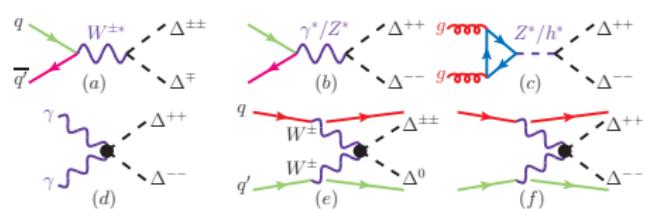
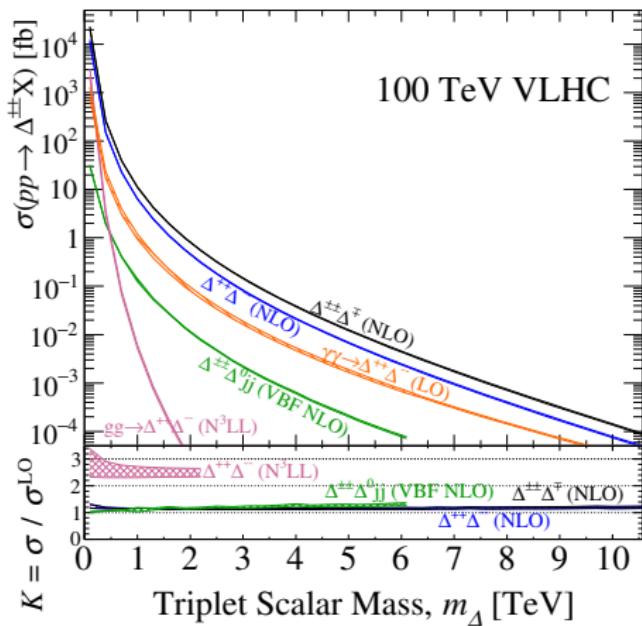
( $\implies$  unambiguous xsec prediction!)



Fuks, Nemevšek, RR [1912.08975]

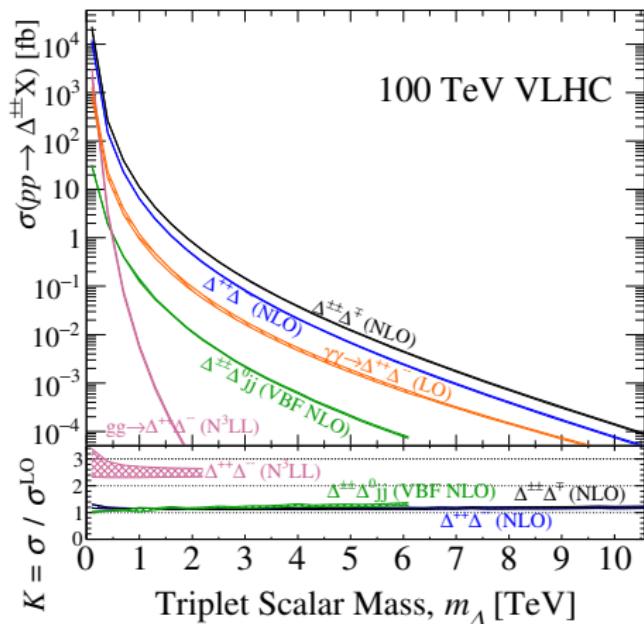
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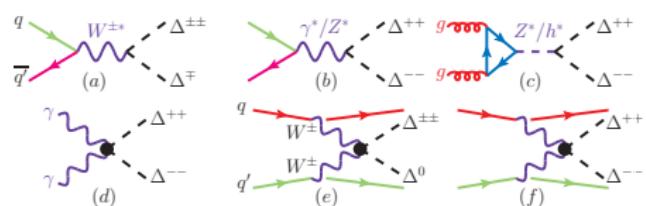


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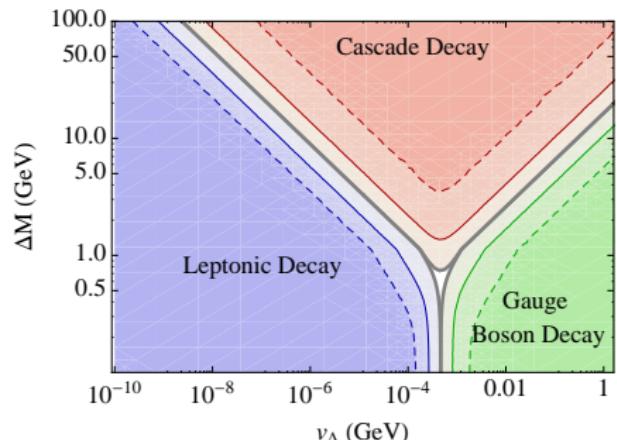
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Fuks, Nemevšek, RR [[1912.08975](#)]



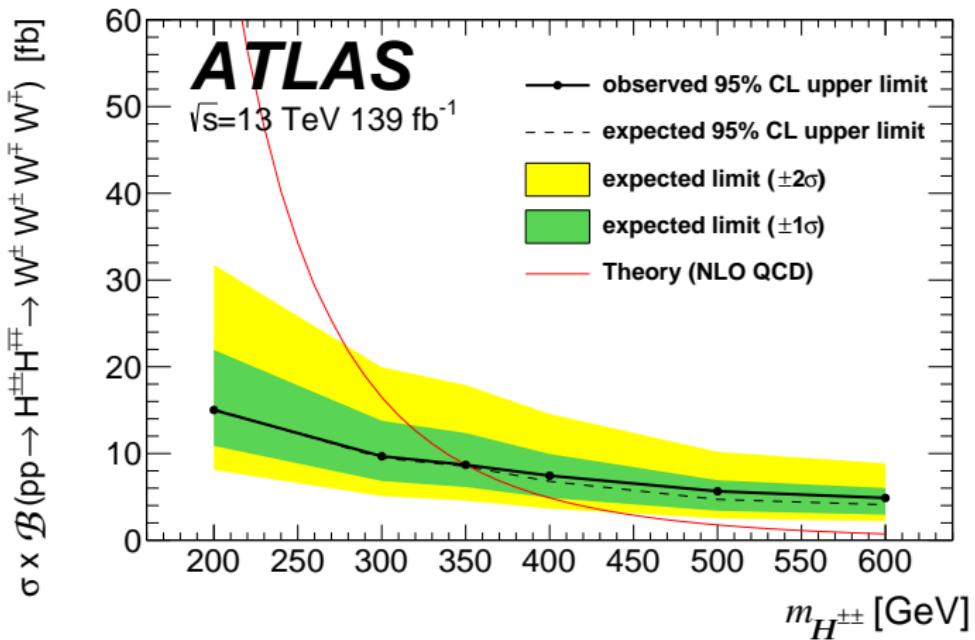
Preferred decay modes of  $\Delta^{\pm\pm}$   
 $(\Delta M = m_{++} - m_+)$



Melfo, Nemevšek, Nesti, Senjanovic, Zhang [[1108.4416](#)]

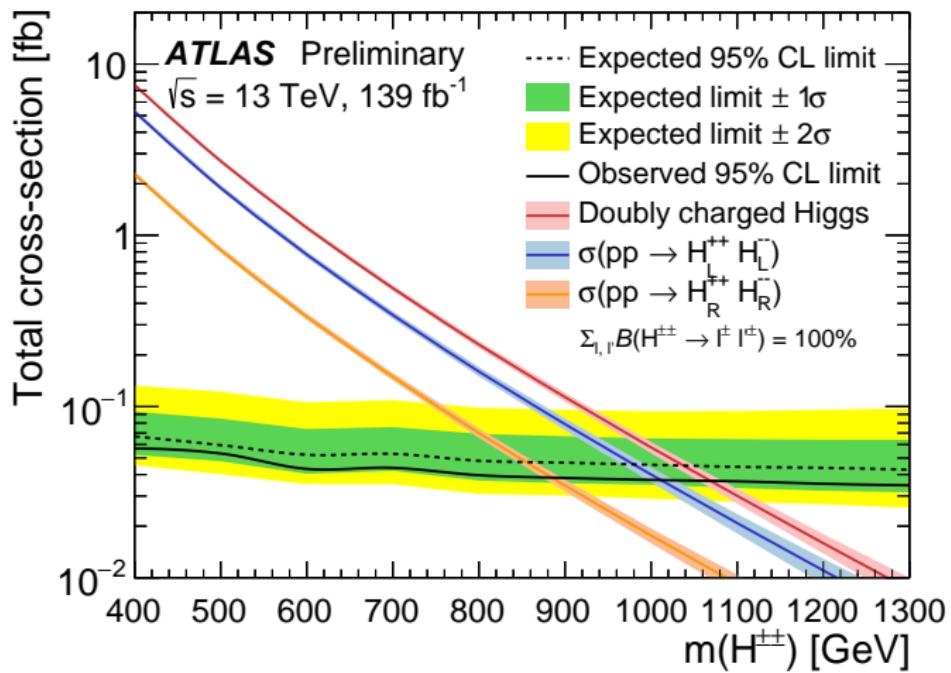
# LHC limits on pair production

$$pp \rightarrow \Delta^{++} \Delta^{--} \rightarrow 4W^\pm \rightarrow 2 - 4\ell^\pm + / E_T + X \quad (\ell = e, \mu) \text{ [2101.11961]}$$



# LHC limits on pair production

$$pp \rightarrow \Delta^{++} \Delta^{--} \rightarrow 4\ell^{\pm} + X \quad (\ell = e, \mu) [2211.07505]$$



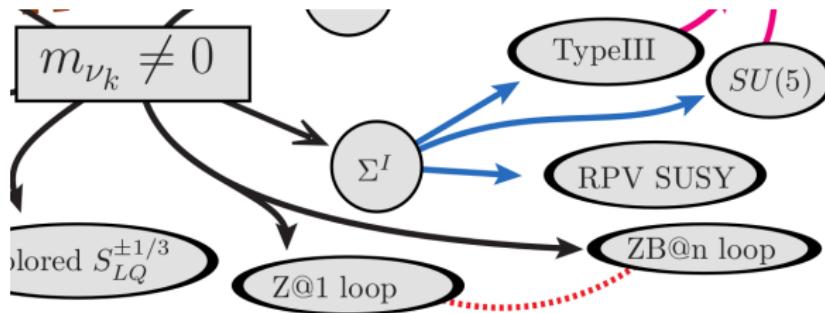
**What if  $\Delta^{\pm\pm}$ ,  $\Delta^\pm$  are discovered?**

**celebrate!** 😊

**except... ☹**

$\Delta^{\pm\pm}$ ,  $\Delta^\pm$  are not unique in new physics models

## Zee-Babu Model<sup>4</sup>



<sup>4</sup> Zee ('85x2), Babu ('88)

Zee-Babu model generates  $m_\nu$  radiatively ***without*** hypothesizing  $\nu_R$

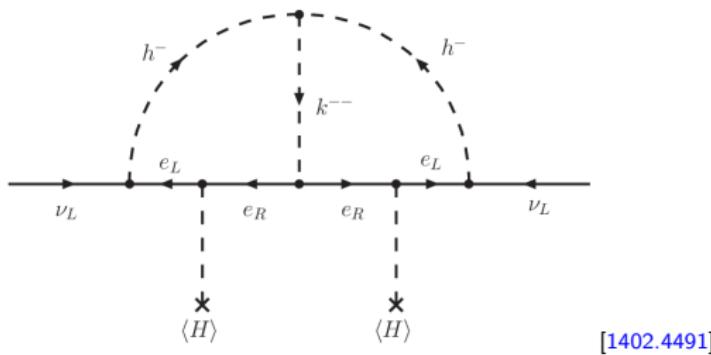
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Zee-Babu model generates  $m_\nu$  radiatively ***without*** hypothesizing  $\nu_R$

Hypothesize two **scalar**  $SU(2)_L$  singlets  $k, h$  with weak hypercharge  $Y = -2, -1$  ( $\implies Q_k = -2, Q_h = -1$ ) with **lepton number**  $L = -2$

$$\mathcal{L}_{\text{ZB}} = \mathcal{L}_{\text{SM}} + (D_\mu \textcolor{blue}{k})^\dagger (D^\mu \textcolor{blue}{k}) + (D_\mu \textcolor{blue}{h})^\dagger (D^\mu \textcolor{blue}{h}) + \dots + (\mu_L \textcolor{red}{h} k \textcolor{blue}{h} k^\dagger + \text{H.c.}) \\ [f_{ij} \overline{\tilde{L}^i} L^j \textcolor{blue}{h}^\dagger + g_{ij} \overline{(\textcolor{blue}{e}_R^c)^i} e_R^j k^\dagger + \text{H.c.}] + \dots$$



The mass scale  $\mu_L$  breaks lepton number, and induces  $m_\nu \neq 0$ :

$$(\mathcal{M}_\nu^{\text{flavor}})_{ij} = 16 \mu_F f_{ia} m_a g_{ab}^* \mathcal{I}_{ab}(r) m_b f_{jb}.$$

## Few free parameters $\implies$ rich experimental predictions

Nebot,et al [0711.0483]; Ohlsson, Schwetz, Zhang [0909.0455]; Herrero-Garcia, Nebot, Rius, et al [1402.4491]; + others

- E.g.,  $k^{\pm\pm}$ ,  $h^\pm$  couplings to leptons encode oscillation physics

Normal ordering:

$$\frac{f_{e\tau}}{f_{\mu\tau}} = \tan \theta_{12} \frac{\cos \theta_{23}}{\cos \theta_{13}} + \tan \theta_{13} \sin \theta_{23} e^{-i\delta}$$

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Inverse ordering:

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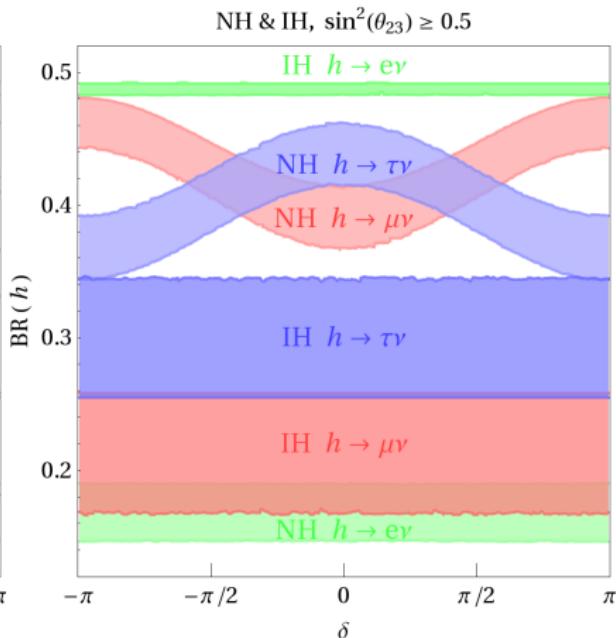
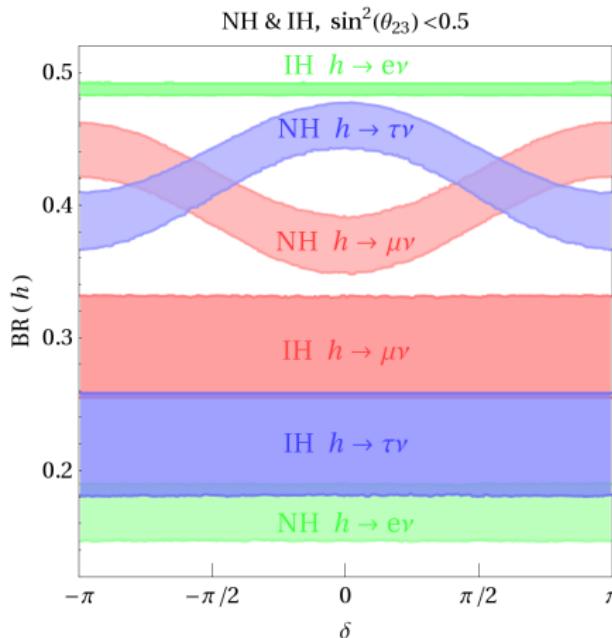
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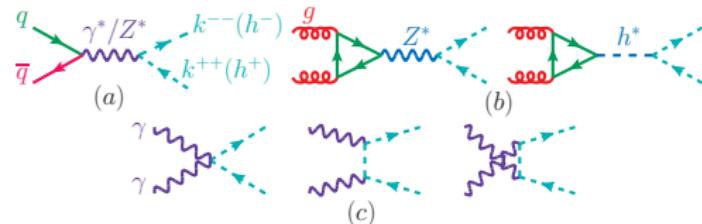
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- E.g.,  $k^{\pm\pm}$ ,  $h^\pm$  decay rates encode IH vs NO



$k^{\pm\pm}$ ,  $h^\pm$  couple directly to  $Z, \gamma$  via gauge couplings ( $\implies$  unambiguous xsec prediction!)

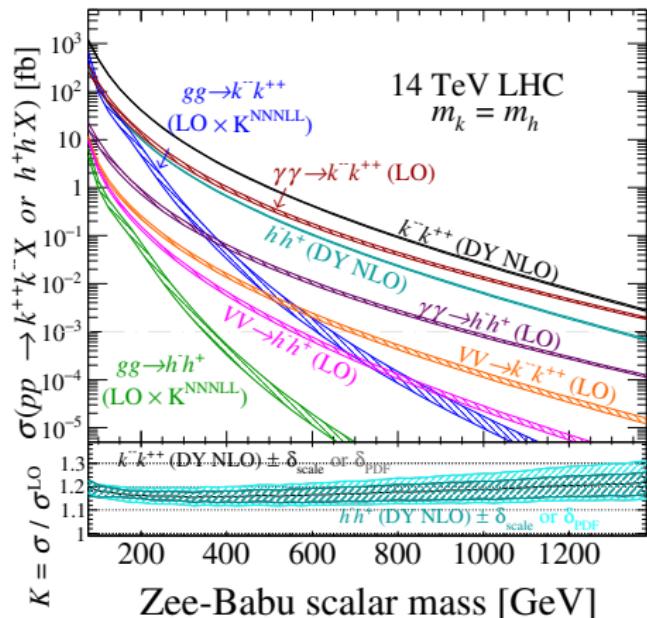


Many production channels but most studies focus on  $pp \rightarrow k^{++}k^{--}$

If  $k^{\pm\pm}$  is the lightest state, then decay rates set by oscillation parameters

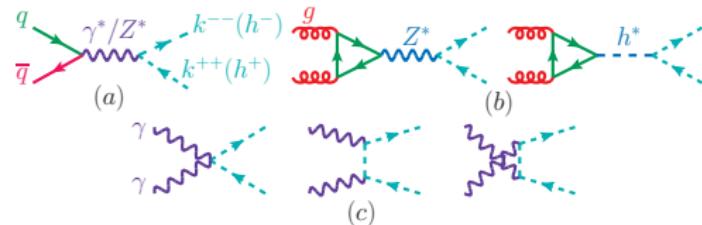
(I find this really, really cool ☺)

Discerning from Type II Seesaw is actually difficult



RR [2206.14833]

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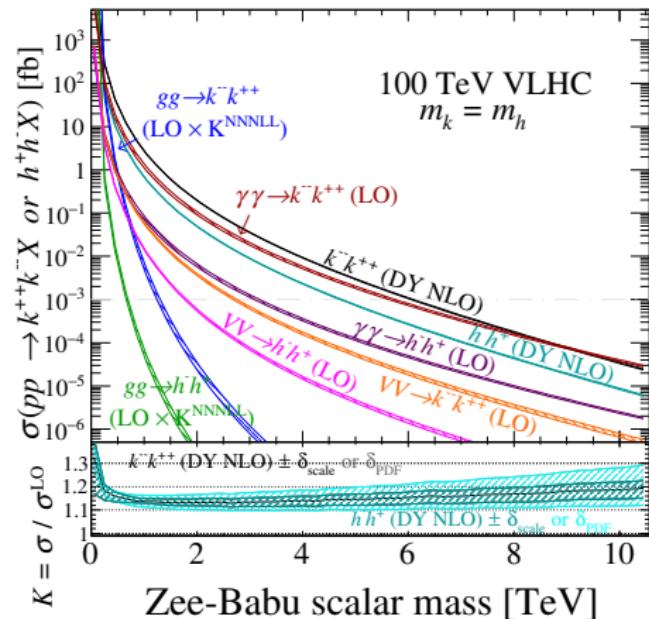


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# Guidance from oscillation data

The ratios of  $h^\pm \rightarrow \ell\nu$  couplings are fixed by oscillation data

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- LHC only sensitive to sum over  $\nu \implies$  inclusive w.r.t.  $\nu$

From flavor-exclusive decay rates:

$$\Gamma(h^\pm \rightarrow \ell\nu'_\ell) = \frac{|f_{e\ell}|^2}{4\pi} m_h \left(1 - \frac{m_\ell^2}{m_h^2}\right)$$

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$$\begin{aligned}\mathcal{R}_{e\mu}^h &= \frac{\text{BR}(h^\pm \rightarrow e^\pm \nu_X)}{\text{BR}(h^\pm \rightarrow \mu^\pm \nu_X)} \\ &= \frac{|f_{e\mu}|^2 + |f_{e\tau}|^2}{|f_{e\mu}|^2 + |f_{\mu\tau}|^2} = \frac{\left|\frac{f_{e\mu}}{f_{\mu\tau}}\right|^2 + \left|\frac{f_{e\tau}}{f_{\mu\tau}}\right|^2}{\left|\frac{f_{e\mu}}{f_{\mu\tau}}\right|^2 + 1}\end{aligned}$$

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(equivalent to measuring cross section ratio!)

Using NuFit(v5.1)

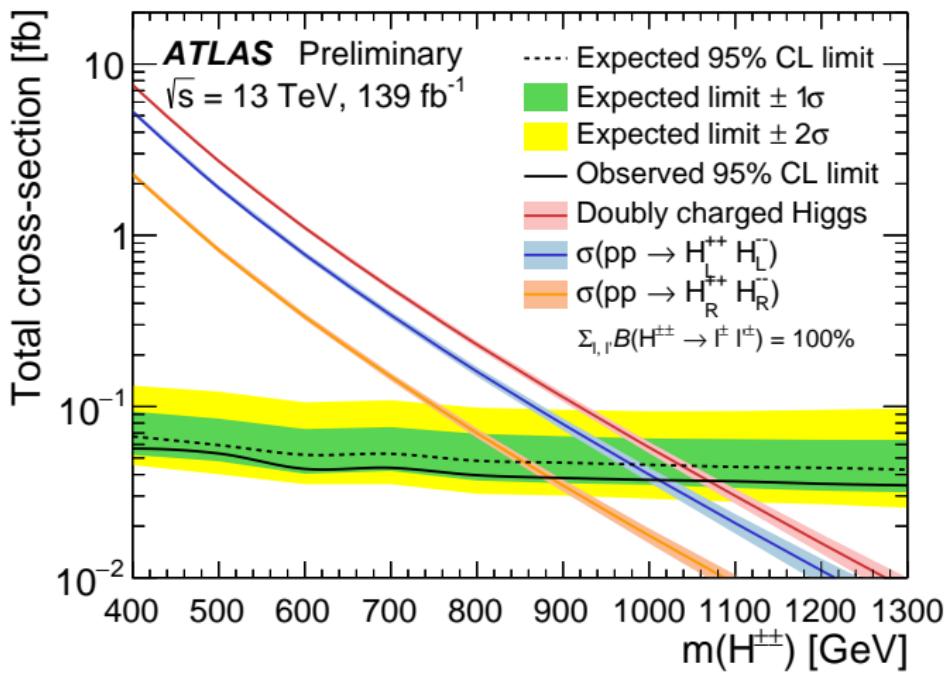
$$\mathcal{R}_{e\mu}^h \Big|_{\text{NO}} \approx 0.313^{+55\%}_{-20\%} \text{ at } 3\sigma$$

$$\mathcal{R}_{e\mu}^h \Big|_{\text{IO}} \approx 0.715^{+3\%}_{-11\%} \text{ at } 3\sigma$$

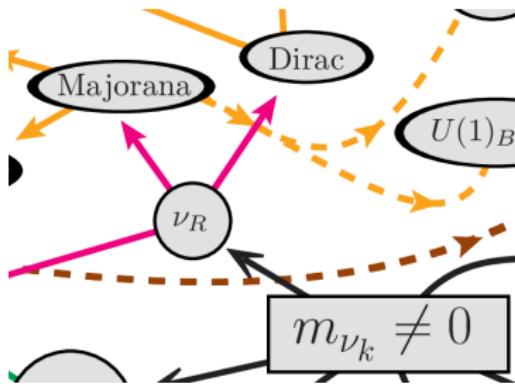
# LHC limits on pair production

first direct search for ZB scalars at colliders

$$pp \rightarrow \Delta^{++} \Delta^{--} \rightarrow 4\ell^{\pm} + X \quad (\ell = e, \mu) [2211.07505]$$



## right-handed neutrinos<sup>5</sup>



<sup>5</sup> For reviews at colliders, see Cai, Han, Li, RR [1711.02180] and Pascoli, RR, Weiland [1812.08750]

**1 slide for non-experts**

To generate Dirac masses for  $\nu$  like other SM fermions, we need  $\nu_R$

$$\begin{aligned}\mathcal{L}_{\nu \text{ Yuk.}} &= -y_{\nu} \overline{L} \tilde{\Phi} \nu_R + H.c. = -y_{\nu} \begin{pmatrix} \overline{\nu_L} & \overline{\ell_L} \end{pmatrix} \begin{pmatrix} \langle \Phi \rangle + h \\ 0 \end{pmatrix} \nu_R + H.c. \\ &= \underbrace{-y_{\nu} \langle \Phi \rangle}_{=m_D} \overline{\nu_L} \nu_R + H.c. + \dots\end{aligned}$$

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$\nu_R$  do not exist in the SM, so **hypothesize** that they do and  $\nu_R = \nu_R^c$ :

$$\implies \mathcal{L}_{\text{mass}} = \frac{-1}{2} \underbrace{\begin{pmatrix} \overline{\nu_L} & \overline{\nu_R^c} \end{pmatrix}}_{\text{chiral state}} \underbrace{\begin{pmatrix} 0 & m_D \\ m_D & \mu_f \end{pmatrix}}_{\text{mass matrix (chiral basis)}} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix}$$

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After diagonalizing the mass matrix, identify  $\nu_L$  (chiral eigenstate) in the SM as a linear combination of mass eigenstates:

$$\underbrace{|\nu_L\rangle}_{\text{chiral state}} = \cos \theta \underbrace{|\nu\rangle}_{\text{light mass state}} + \sin \theta \underbrace{|N\rangle}_{\text{heavy mass state}} \quad (\text{this is a prediction!})$$

## **technical comments on high- and low-scale Seesaws (for experts)**

In pure Type I scenarios (SM+ $\nu_R$ ), tiny  $m_\nu$  obtained in two ways:

greatly clarified by Pascoli, et al, [1712.07611]

① **High-scale seesaw:**

$$\Lambda_{LNV} \gg y_\nu \langle \Phi_{SM} \rangle \implies m_\nu \sim m_D \left( \frac{m_D}{\Lambda_{LNV}} \right), \quad m_N \sim \Lambda_{LNV}$$

Generically leads to decoupling of  $N$  and  $LNV$  from colliders

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Known also in literature as Inverse Seesaw, Linear Seesaw, Protective Symmetries, etc.

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- **Corollary for low-scale Type I:** if  $m_\nu \approx 0$  experimental scale, i.e.,  $(\tilde{m}_\nu^2/Q^2) \approx 0 \implies$  approx.  $L$  conservation

Pilaftsis, et al [hep-ph/9901206]; Kersten & Smirnov [0705.3221]; Pascoli, et al, [1712.07611]; w/ Pascoli [1812.08750]

warning: limits from LNV searches not applicable to Dirac  $N$

- **Corollary:** Collider LNV via  $N_i \implies$  more new particles! RR [1703.04669]

# For super experts (1 slide)

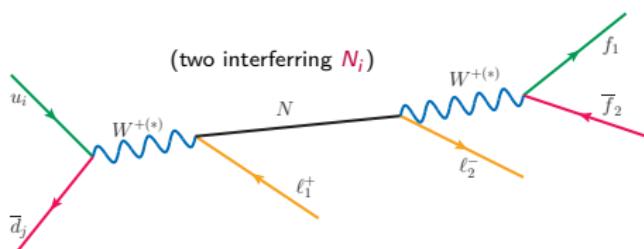
## What about quasi-degenerate Majorana neutrinos?

Wolfenstein ('81), Petcov ('82)

Low-scale Seesaws assume SM +  $\nu_R + S \implies$  3 mass states per generation:

(for a review, see C. Weiland's thesis [[1311.5860](#)])

$$m_\nu \sim \underbrace{\Lambda_{LNV}}_{\text{this is small!!}} \left( \frac{m_D}{m_R} \right)^2 \quad m_{N_{1,2}} \sim \pm \left( \sqrt{m_R^2 + m_D^2} \mp \mathcal{O}(\Lambda_{LNV}) \right)$$



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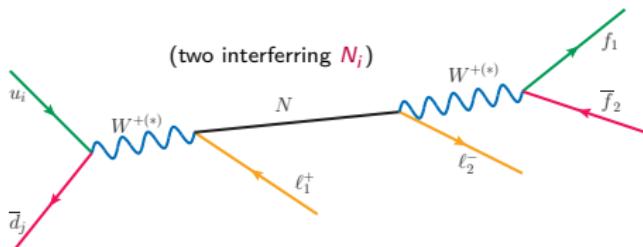
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Minus sign  $\iff$  a CP phase  $\implies$  destructive interference

$$-iM_{LNV}(W \rightarrow \ell^\pm \ell^\pm X) \sim m_{N_1} + e^{i\Delta\phi} m_{N_2} \sim \mathcal{O}(\Lambda_{LNV}) \sim m_\nu$$



(this is small!!!)

Bray, Lee, Pilaftsis [[hep-ph/0702294](#)]

In  $m_\nu \rightarrow 0$  limit (typical for LHC),  $m_{N_2} \rightarrow m_{N_1}$  and  $\Delta\phi \rightarrow \pi$ :

2 quasi-degenerate, Majorana  $N_i$  with opposite CP phase  $\approx 1$  Dirac  $N_i$

For ***discovery purposes***, parameterize active-sterile neutrino mixing :

Atre, Han, et al [0901.3589]

$$\underbrace{\nu_{\ell L}}_{\text{flavor basis}} \approx \underbrace{\sum_{m=1}^3 U_{\ell m} \nu_m + V_{\ell m'=4} N_{m'=4}}_{\text{mass basis. can be Dirac or Maj.}} \quad (\text{neglect heavier } N_{m'})$$

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⇒  $W$  couplings to  $\nu$  and  $N$  in the **mass basis** are

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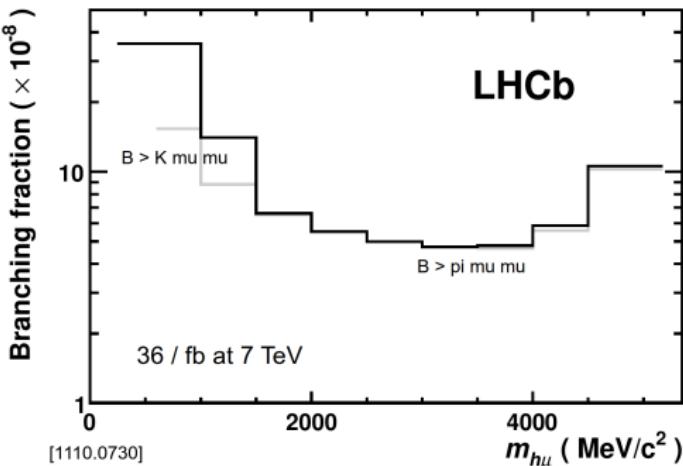
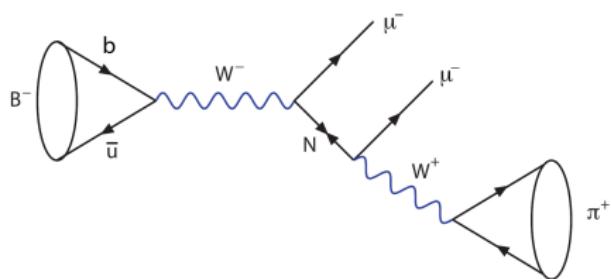
⇒  $N$  is accessible through  $W/Z/h$  bosons

**searches for low-mass  
heavy neutrinos ( $N$ )**

# Searches for low-mass $N$

For  $m_N \ll M_W$ ,  $N$  can appear in decays of baryons, mesons, and  $\tau^\pm$ !

Atre, Han, Pascoli, & Zhang [0901.3589]; Castro & Quintero [1302.1504]; Yuan, Wang  $\times 2$ , Ju, & Zhang [1304.3810]; + others



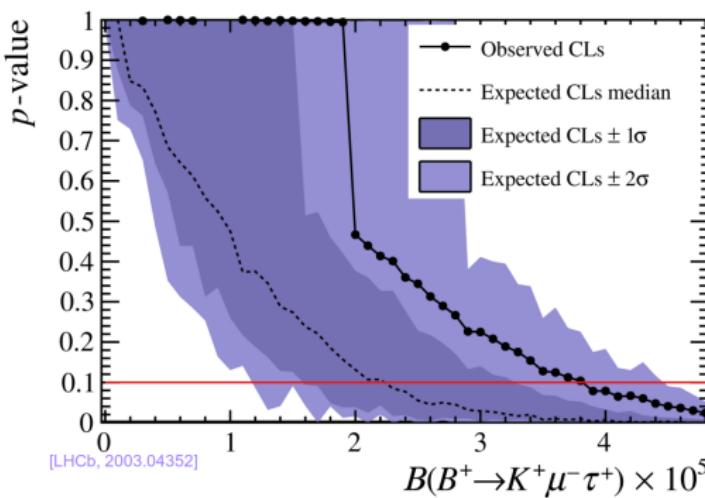
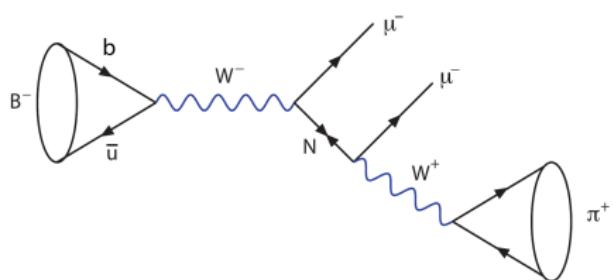
Production rate of mesons ( $\pi^\pm, D, B$ ) at colliders is **big** ( $\sigma_{bX}^{\text{LHC}} \sim 0.1 \text{ mb}$ )

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- sufficient to probe LFV

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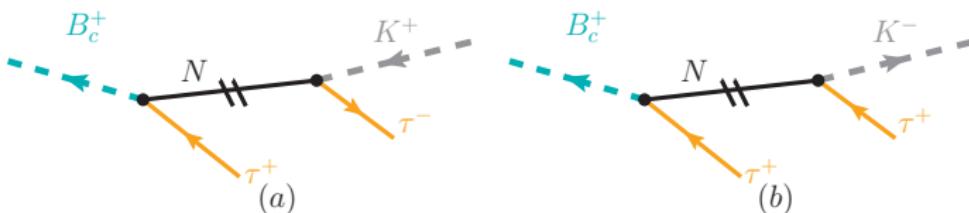
# Confusion Theorem $\implies$ relative helicity inversion of $N$

Kayser ('82), Mohapatra & Pal ('98), Denner, et al (NPB'92, PLB'92)

$\implies$  shifts in kinematic distributions

Many dedicated works, e.g., Han, RR, et al [1211.6447]; RR [2008.01092]

Shifts can occur at all scales, e.g., meson decays



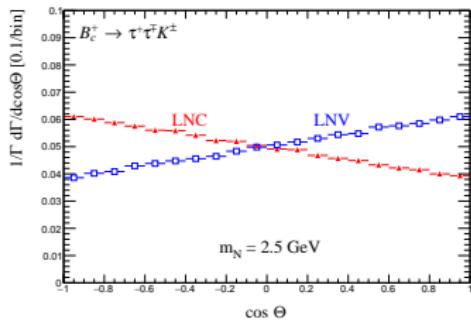
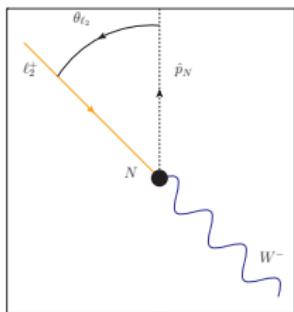
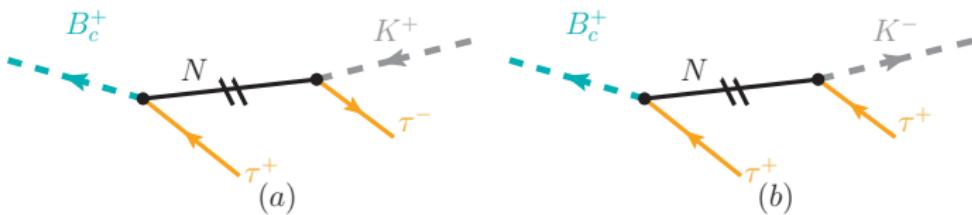
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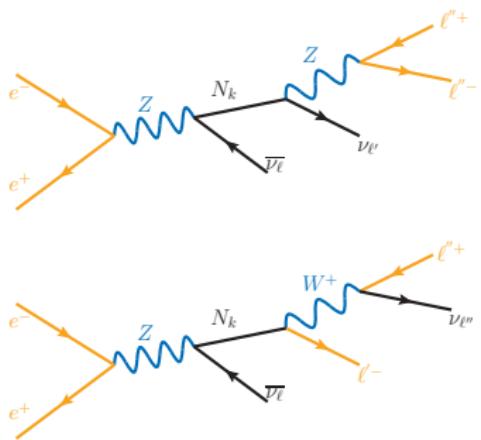
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w/ Jeon, Fernandez-Martinez, Kulkarni, et al [(to appear)]

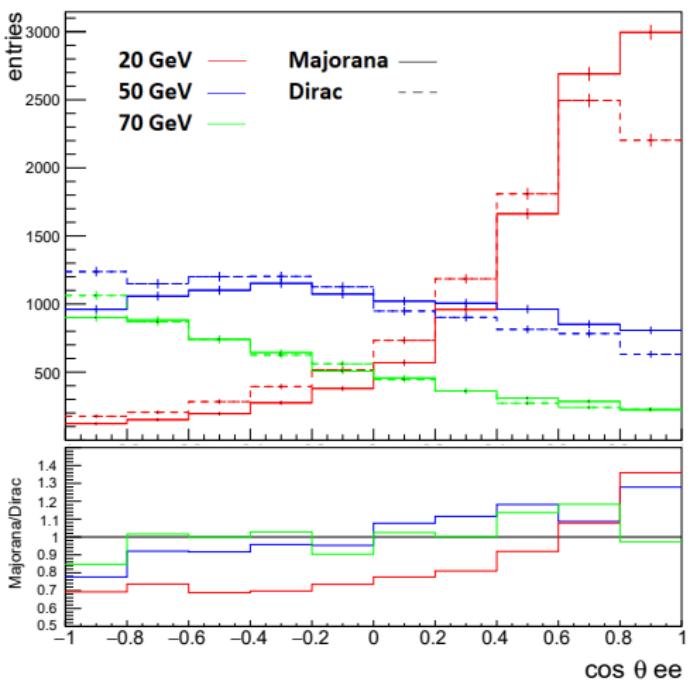
Shifts in kinematic distributions also appear when event is not fully reconstructable, e.g.  $e^+e^- \rightarrow Z \rightarrow N\nu \rightarrow e^+e^-\nu\nu$

lots of recent activity! E.g., de Gouvea, et al [1808.10518, 2104.05719, 2105.06576 (FCC-ee), 2109.10358]



$\theta_{ee}$  = opening between final-state  $e^+e^-$

- Dirac = LNC
- Majorana = LNC+LNV

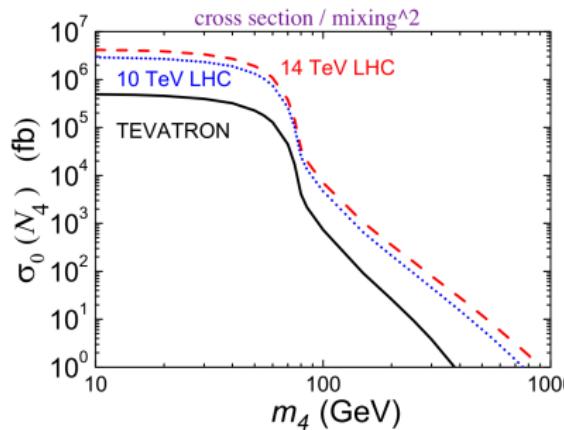
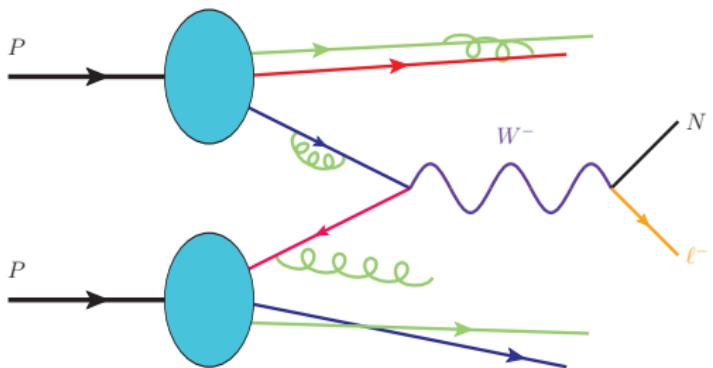


w/ Alimena, Gonzalez Suarez, Sfyrla, Sharma, et al [2203.05502] ⏪ ⏴ ⏵ ⏶ ⏷ ⏸ ⏹

# **searches for intermediate heavy neutrinos ( $N$ )**

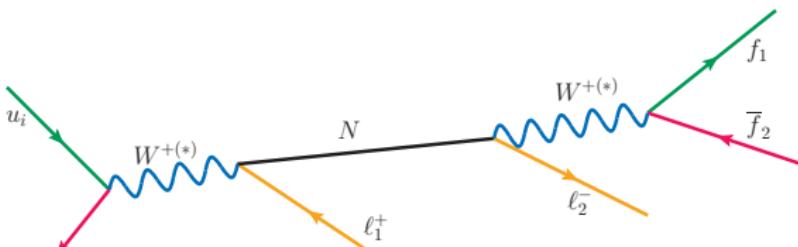
**Historically**, searches for  $N$  with  $m_N \sim M_W$  relied on decays of  $W^\pm$ , or more generally ( $q\bar{q}$ ) annihilation

Keung & Senjanovic (PRL'83)



At **ATLAS** and **CMS**, search for  $pp \rightarrow \ell l j + \text{jets}$  or  $\ell l l j k + \text{nothing}$

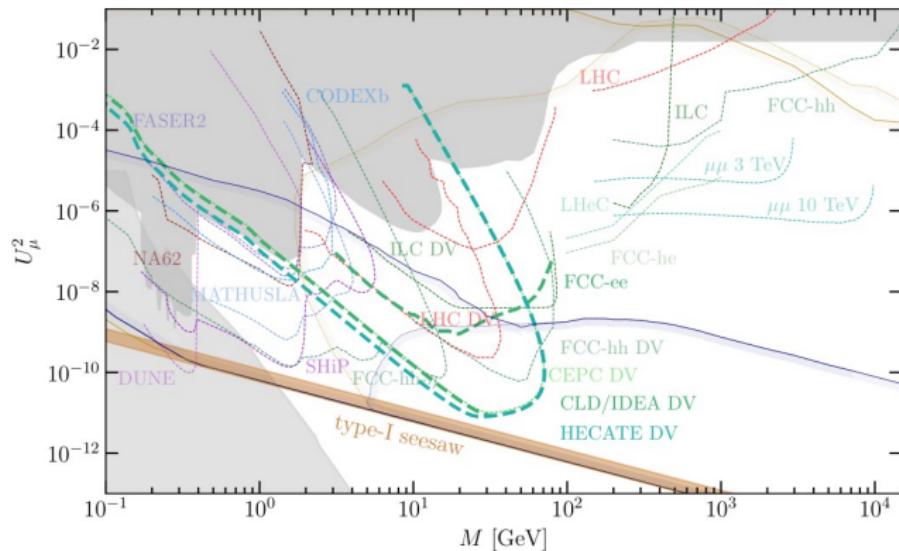
based on seminal works by K&S, del Aguila & Aguilar-Saavedra [0808.2468], and Atre, et al [0901.3589]



# Outlook for Current and Future Machines

**Community Message:** Current + next-gen. facilities can probe *simplest* ( $m_{\nu_1} = 0$ ) leptogenesis scenario w/  $\nu_R$

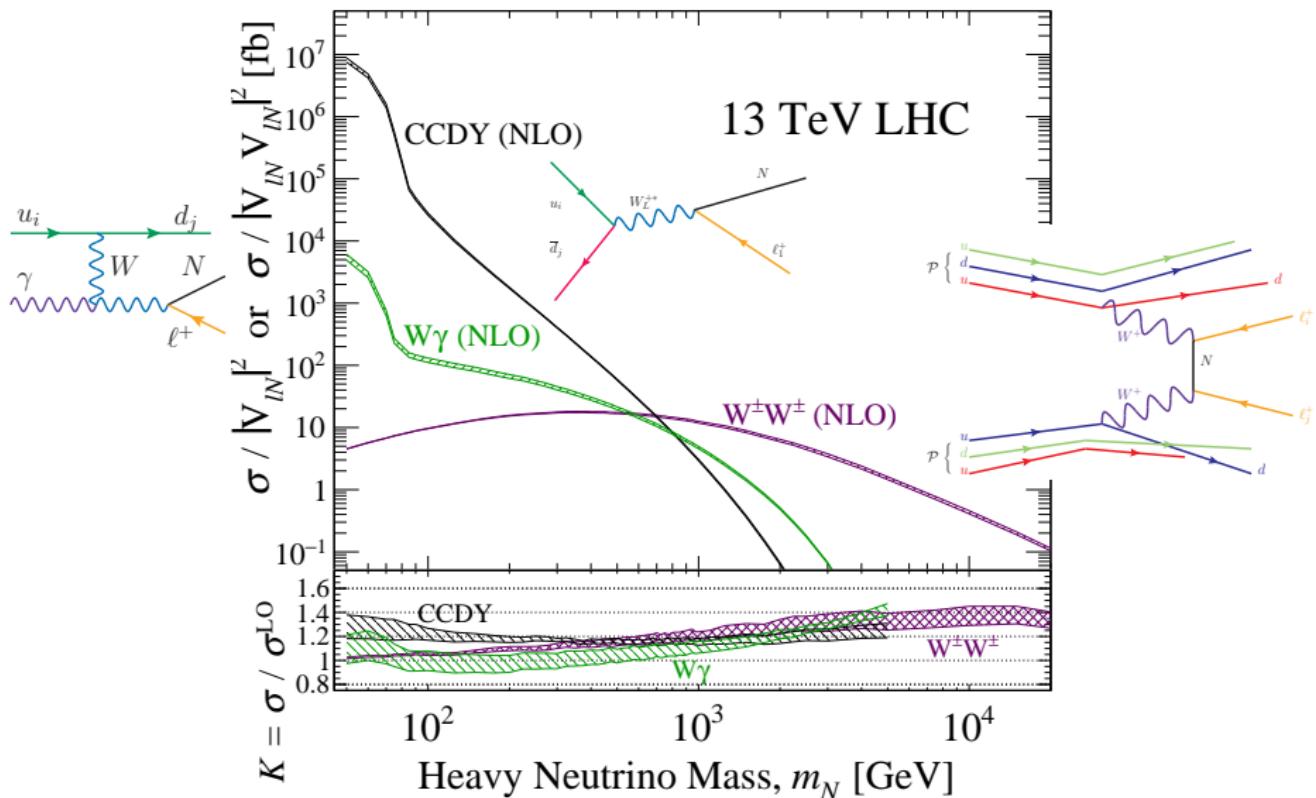
Abdullahi, et al [2203.08039]; w/ Alimena, et al [2203.05502]



**Note:** LHC picture evolving with new strategies and channels

**searches for high-mass  
heavy neutrinos ( $N$ )**

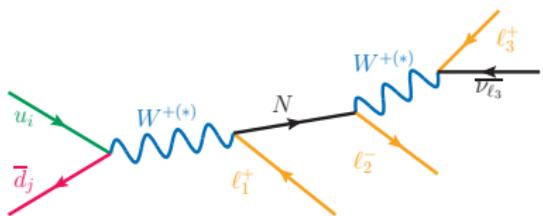
**Plotted:** Normalized production rate ( $\sigma/|V|^2$ ) vs  $m_N$



$\gamma W^\pm$  and  $W^\pm W^\pm$  scattering drive high-mass scattering rates!

**what do ATLAS and CMS say?**

# ATLAS experiment's search for light $N$ with full Run II data



**Plotted:** Limits on  $|V_{\ell N}|^2$  in search for  $pp \rightarrow 3\ell + \text{MET}$

MET =  $-|\sum_k \vec{p}_T^k|$ ,  $k=\text{anything}$

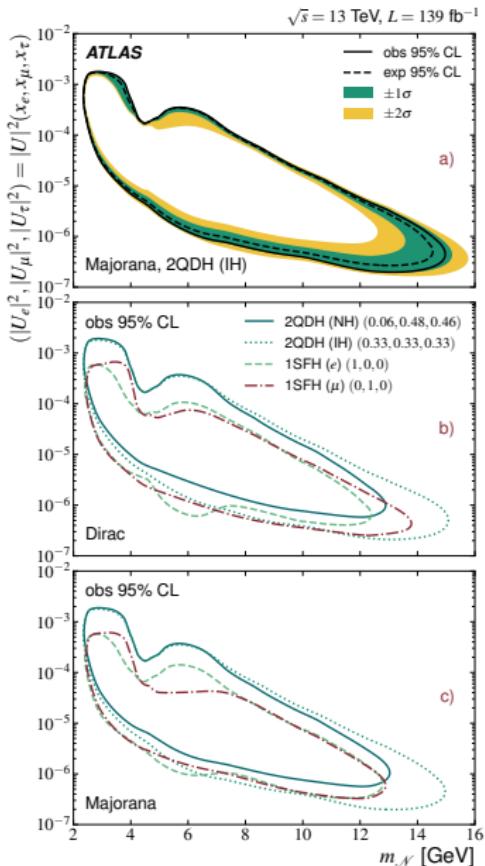
(top) 2 Majorana  $N$

(mid) 1 Dirac  $N$

(btm) 1 Majorana  $N$

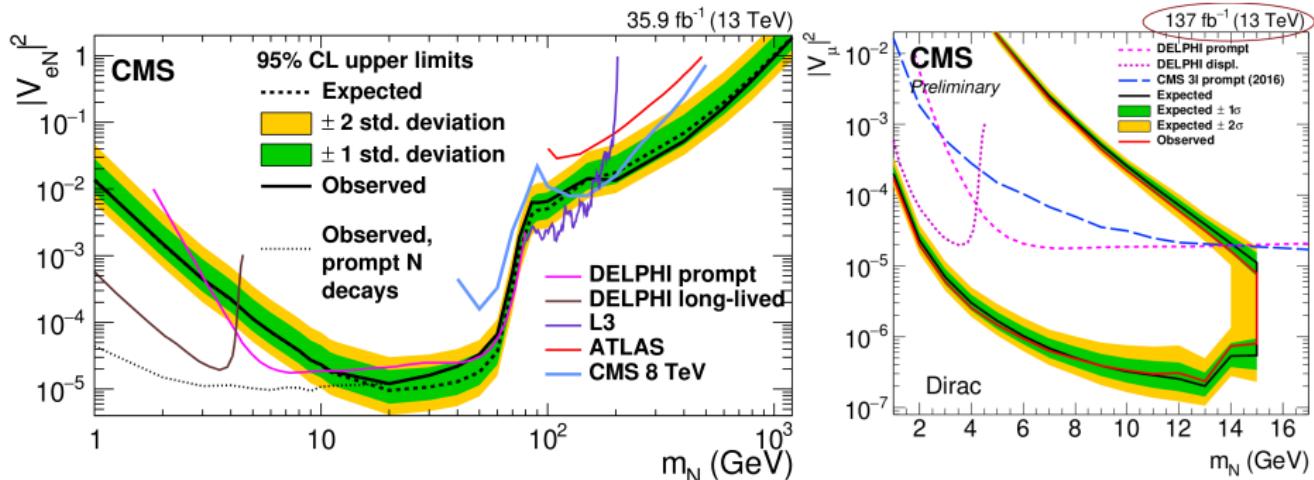
No discovery ☺

[2204.11988]



# CMS experiment's search for light $N$ with Run II data

**Plotted:** Limits on  $|V_{eN}|^2$  in search for  $pp \rightarrow 3\ell + \text{MET}$  ( $\ell = e, \mu$ )



No discovery 😞 but there is hope with  $20 - 30 \times$  more data! 😊

- (L)CMS experiments's trilepton search for short-lived  $N$  [1802.02965]
- (R)CMS search for long-lived  $N$  [2201.05578]
- (not shown) same-sign dilepton searches [1806.10905]

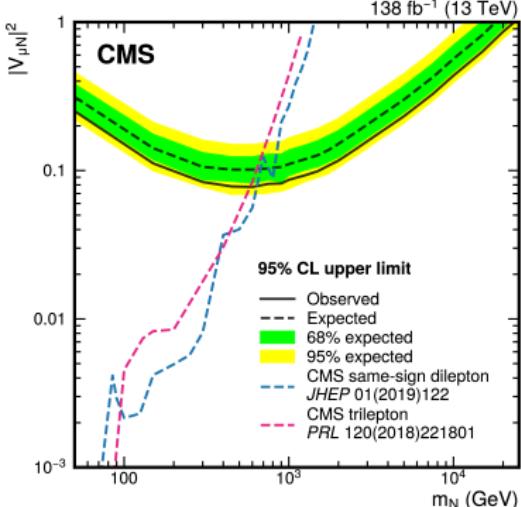
## Tracking Down the Origin of Neutrino Mass

Jalil Shariff  
Department of Theoretical Physics, CERN, Geneva, Switzerland

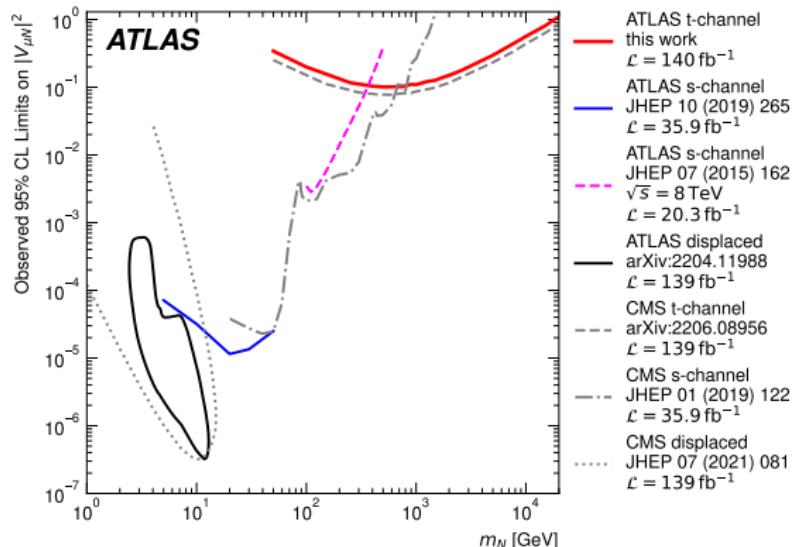
July 4, 2023 • Physics 16, 20  
Collider experiments have set new direct limits on the existence of hypothetical heavy neutrinos, helping to constrain how ordinary neutrinos get their mass.



Figure 1: In the seesaw mechanism, a hypothetical neutrino (left) is “tilted” with an ordinary neutrino.



# Search for $W^\pm W^\pm \rightarrow \ell^\pm \ell'^\pm$ quickly adopted by ATLAS and CMS experiments!

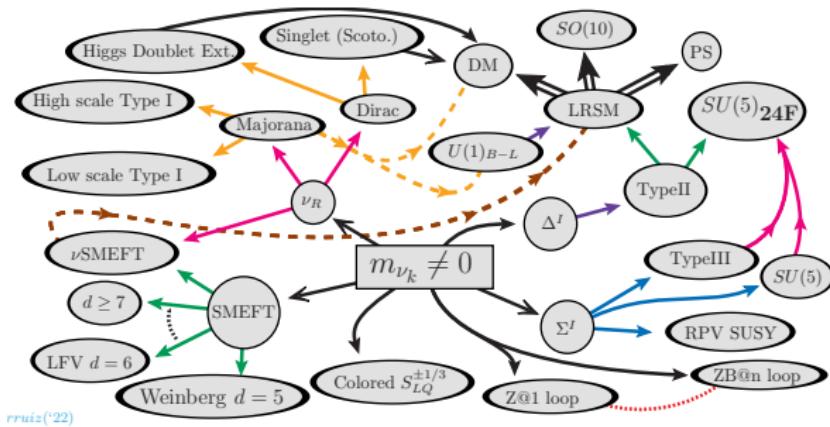


ATLAS (EPJC'23) [2305.14931]

$ee/e\mu$  [2403.15016]

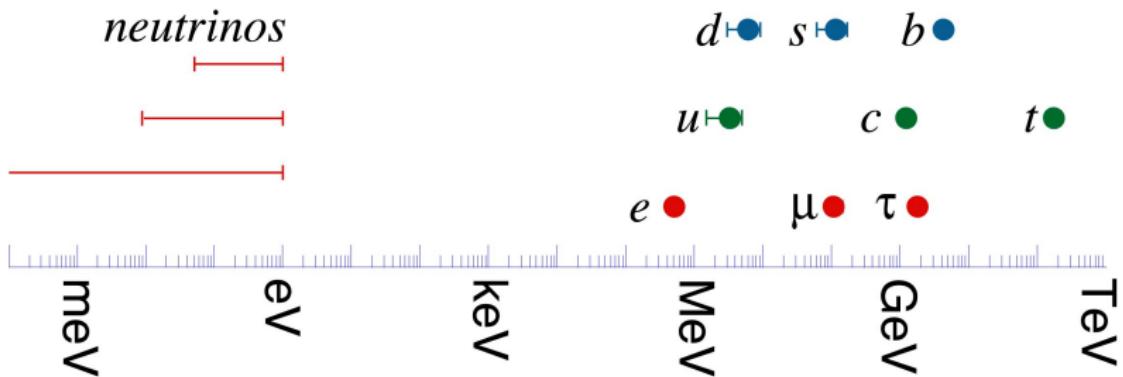
← CMS (PRL'22) [2206.08956]

## so much not covered



rruiz('22)

## **Summary and Outlook**

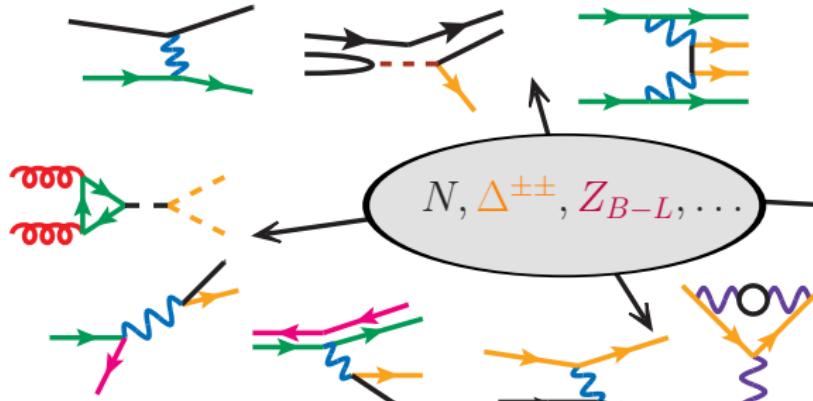


## Unambiguous data that neutrino have nonzero masses

- contrary to the Standard Model (SM) of particle physics
- general arguments, more new particles must exist (unclear what kind)

# broad implications for experimental physics

## 1. Indirect production at non – accelerator laboratories



## 2. Direct production

$h^0, Z, B_c^\pm, D^\pm, {}^3H, \dots$

## 3. Indirect production at accelerators

## 4. Simulations and tool dev.

```
subroutine  
  getDecayRate()  
    implicit none  
    double precision...  
    lifetime = hbar / ...  
    print *, ...  
  end subroutine
```

Many complementary ways to explore consequences of  $m_\nu$

- colliders and  $\ell$ -DIS facilities  $\ell\ell, \ell h, hh$  😊
- short and long baseline experiments and  $\nu$ DIS facilities ☺
- space! (underground-, ground-, water-, ice-based telescopes) 😊



**Thank you for your time.**

